



# Compressive Spectral Image Sensing, Processing, and Optimization

Gonzalo R. Arce

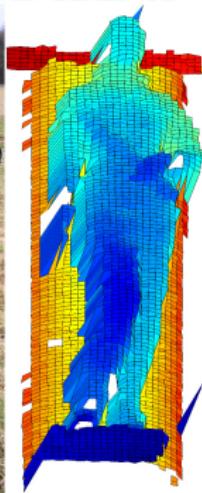
Department of Electrical and Computer Engineering,  
University of Delaware,  
Newark, DE, 19716

September 4th, 2017



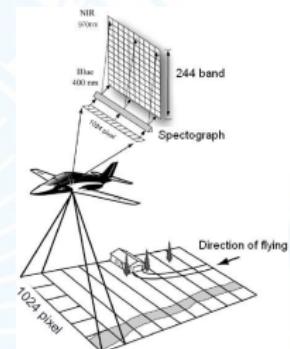
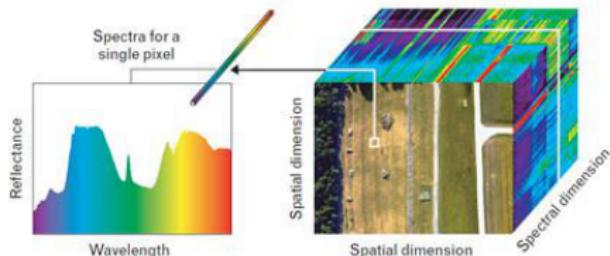
# Contents

- ▶ Compressive spectral imaging (CSI)
- ▶ Coded aperture characteristics
  - ▶ Black and white
  - ▶ Colored coded apertures
- ▶ CSI Extensions
  - ▶ CSI + polarization imaging
  - ▶ CSI with side information
  - ▶ CSI + Integral imaging
  - ▶ CSI + Time-of-Flight imaging
- ▶ Conclusions



# The Spectral Imaging Problem

- ▶ Push broom spectral imaging: Expensive, low sensing speed, senses  $N \times N \times L$  voxels



- ▶ Optical Filters; Sequential sensing of  $N \times N \times L$  voxels; limited by number of colors

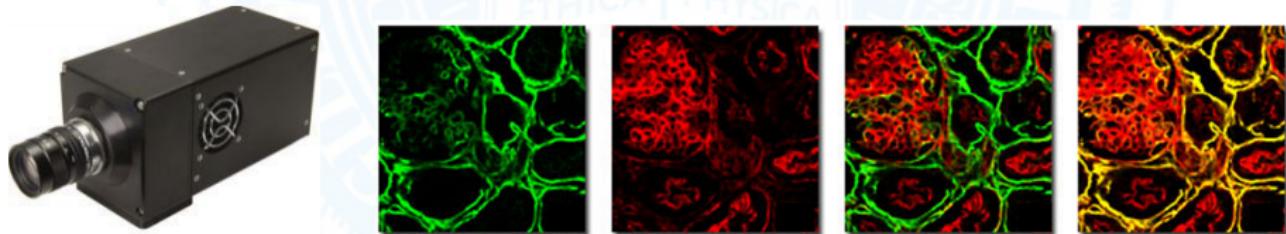


# Why is this Important?

- ▶ Remote sensing and surveillance in the Visible, NIR, SWIR



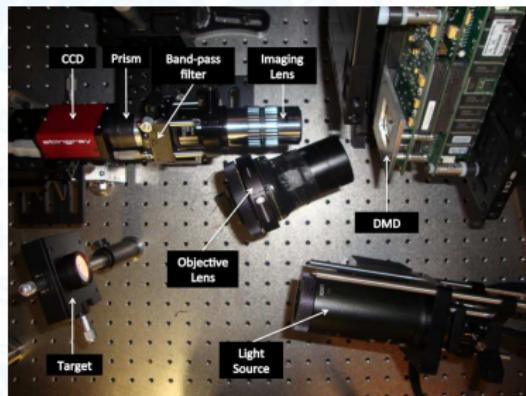
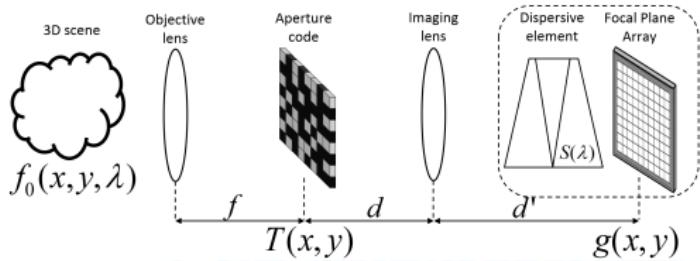
- ▶ Devices are challenging in NIR and SWIR due to SWaP



- ▶ Medical imaging and other applications

# Remarkable Compressive Spectral Imaging Arquitecture

- ▶ Coded aperture-based compressive spectral imager (CASSI)
- ▶ High compression ratio (Bands:1)
- ▶ High fidelity hyperspectral reconstructions



## Analog Compressive Measurement

$$g(x, y) = \int T(x + S(\lambda), y) f_0(x + S(\lambda), y, \lambda) d\lambda.$$

# Compressive Sensing Fundamentals

CS was introduced by Donoho<sup>†</sup>, Candès<sup>‡</sup>, Tao, Romberg...

- ▶ Measurements are given by  $\mathbf{g} = \Phi \mathbf{f}$

$$\mathbf{g} = \Phi \mathbf{f} \quad \Psi \quad \boldsymbol{\theta}$$

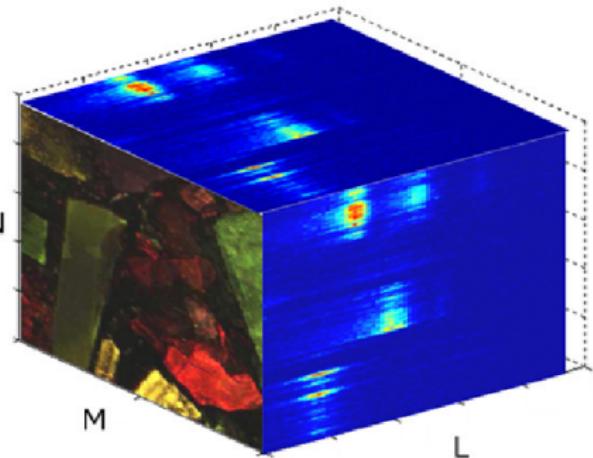
- ▶ A sparse solution  $\boldsymbol{\theta}$  is recovered from  $\mathbf{g}$  by solving the inverse problem

$$\hat{\boldsymbol{\theta}} = \min_{\boldsymbol{\theta}} \|\boldsymbol{\theta}\|_1 \text{ s.t. } \mathbf{g} = \Phi \Psi \boldsymbol{\theta}.$$

<sup>†</sup>Donoho. IEEE Trans. on Information Theory. December 2006.

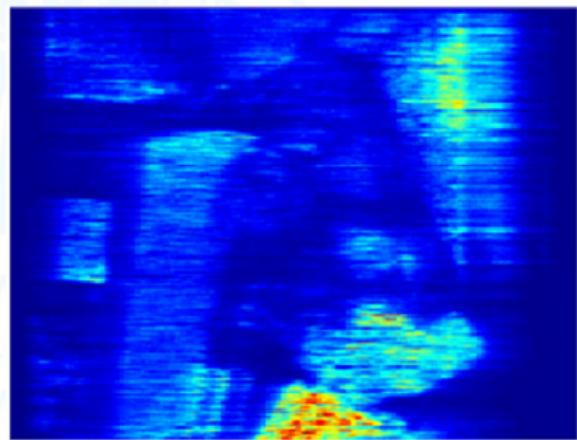
<sup>‡</sup>Candès, Romberg and Tao. IEEE Trans. on Information Theory. April 2006.

# Compressive Measurements



Datacube

$$\mathbf{f} = \Psi \boldsymbol{\theta}$$



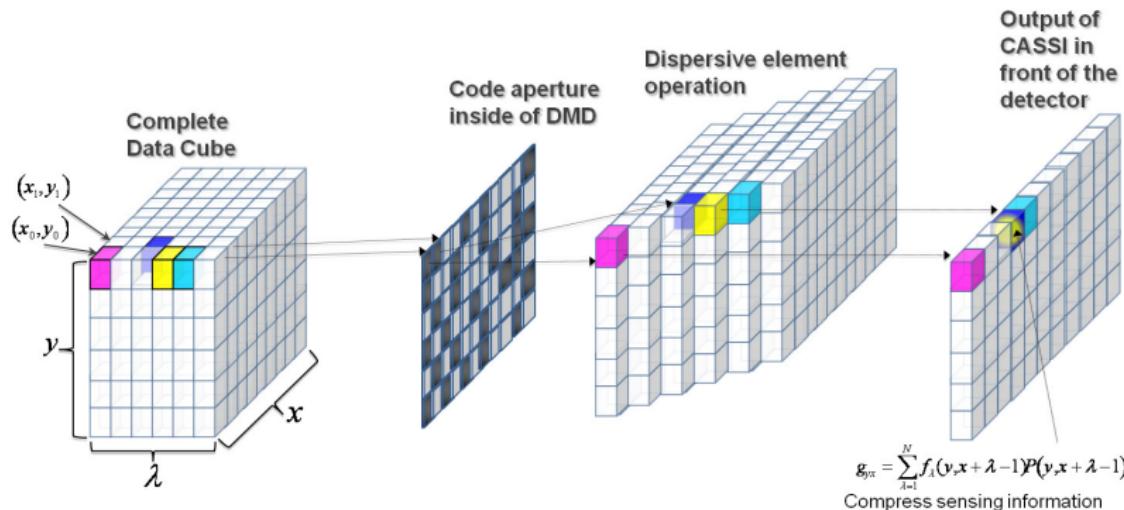
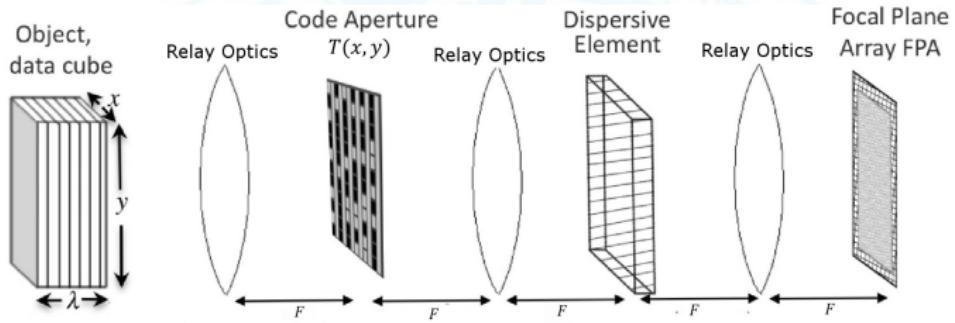
Compressive Measurements

$$\mathbf{g} = \Phi \Psi \boldsymbol{\theta} + \mathbf{w}$$

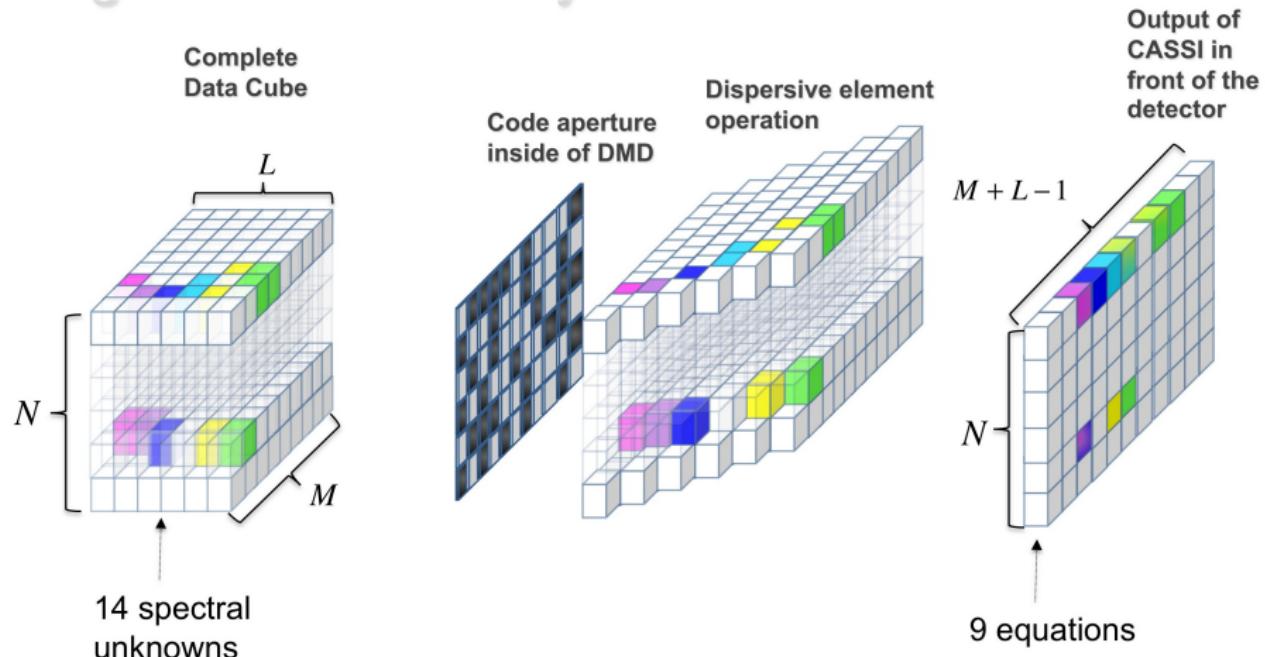
Underdetermined system of equations

$$\hat{\mathbf{f}} = \Psi \left\{ \min_{\boldsymbol{\theta}} \|\mathbf{g} - \Phi \Psi \boldsymbol{\theta}\|_2 + \tau \|\boldsymbol{\theta}\|_1 \right\}$$

# CASSI: Principles of Operation



# CASSI: Sensing+Compressive System



Undetermined system of equations:  $N \times M \times L$  Unknowns and  $N(M + L - 1)$  Equations.

# CASSI: Computational Model

A single shot compressive measurement across the FPA:

$$G_{nm} = \sum_{i=0}^{L-1} F_{i(n+m)m} T_{i(n+m)} + w_{in}$$

- ▶  $F$  is the  $N \times M \times L$  datacube
- ▶  $T$  is the binary code aperture
- ▶  $w$  is the sensing noise

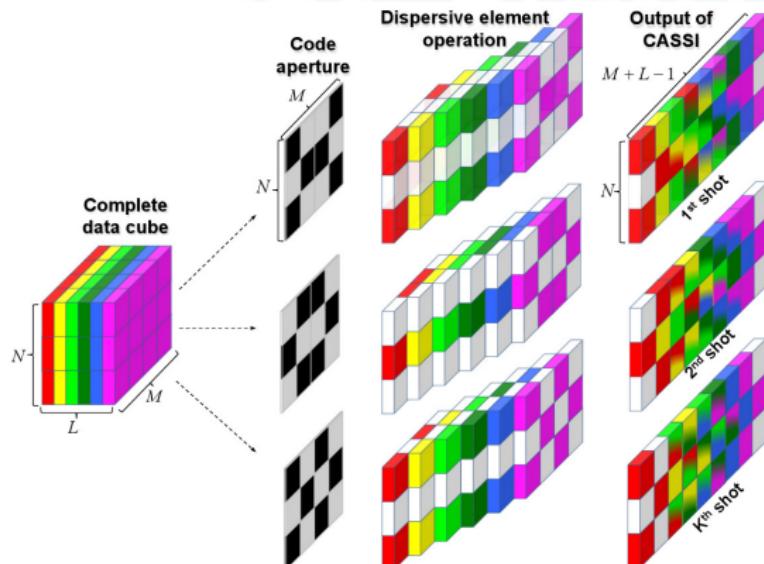
In vector form, the FPA measurement can be written as

$$\mathbf{g} = \mathbf{Hf} + \mathbf{w}$$

- ▶  $\mathbf{H}$  accounts for the coded aperture and the dispersive element.



# CASSI: Multishot Matrix Model

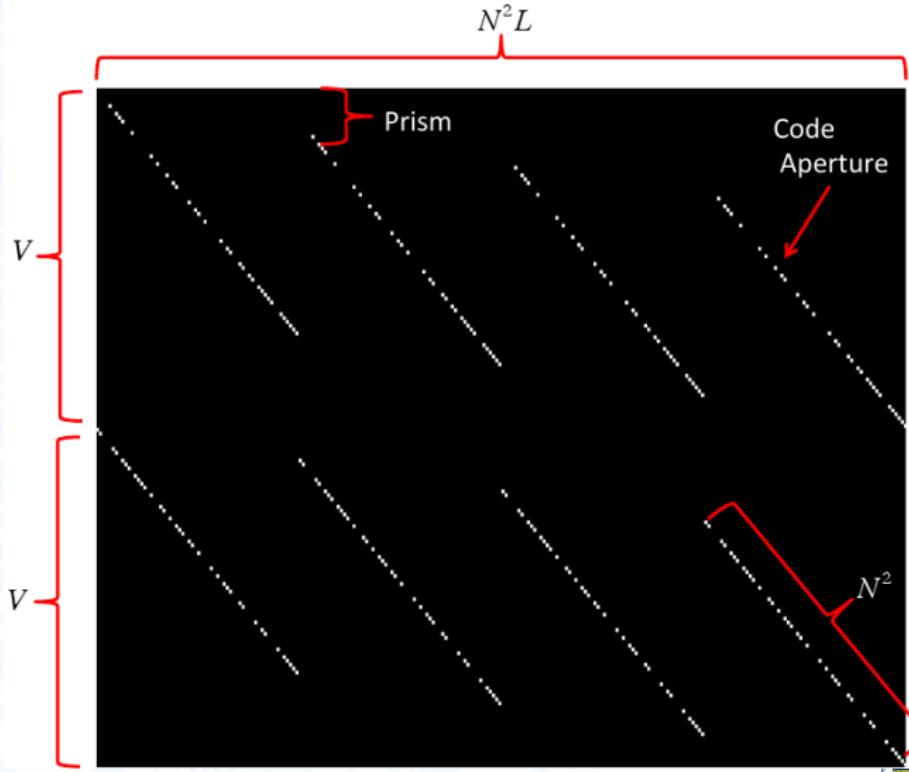


$$\begin{bmatrix} \mathbf{g}^0 \\ \mathbf{g}^1 \\ \vdots \\ \mathbf{g}^{k-1} \end{bmatrix} = \begin{bmatrix} \mathbf{H}_0 \\ \mathbf{H}_1 \\ \vdots \\ \mathbf{H}_{k-1} \end{bmatrix} \mathbf{f},$$
$$\mathbf{g} = \mathbf{H}\mathbf{f}, \mathbf{H} \in \{0, 1\}$$

- ▶ Multi-shot coding done by using multiple coded apertures or a Digital-Micromirror-Device (DMD)

## CASSI: Forward Operator $\mathbf{H}$

- ▶ Data cube:  
 $N \times N \times L$
- ▶ Spectral bands:  $L$
- ▶ Spatial resolution:  
 $N \times N$
- ▶ Sensor size  
 $N \times (N + L - 1)$
- ▶  $V = N(N+L-1)$



# Performance of Different Coded Apertures

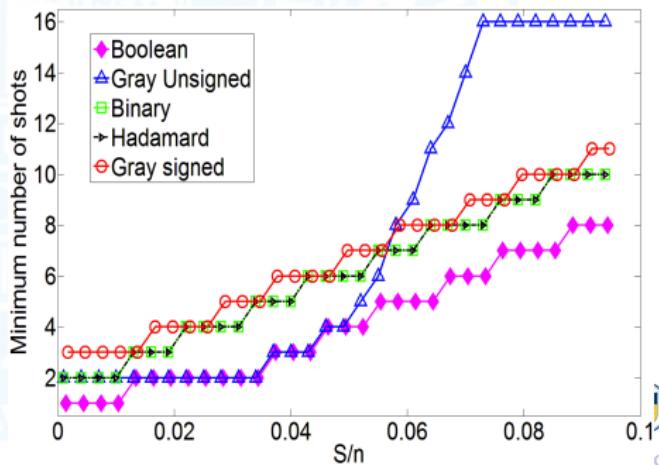
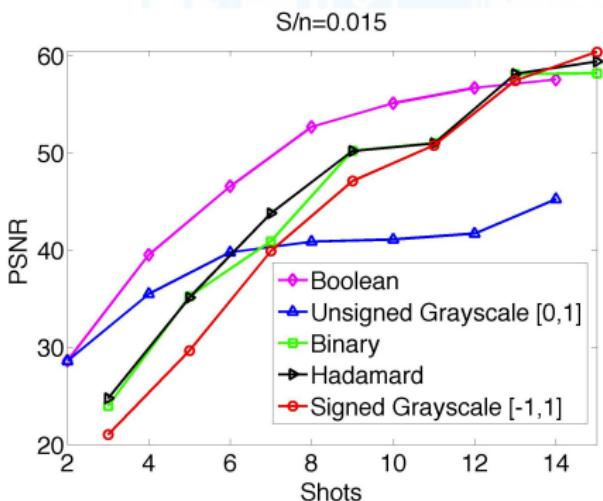
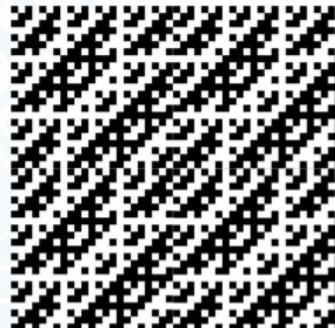
Binary



Grayscale

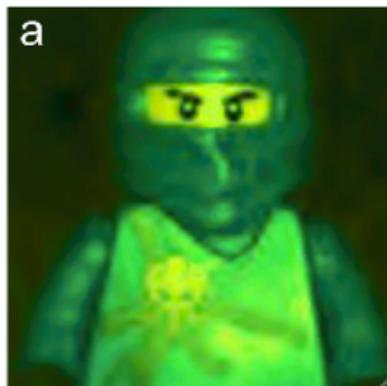


Hadamard

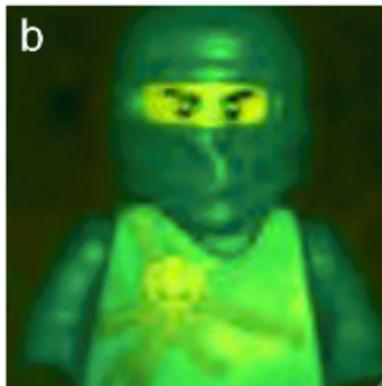


# Reconstructions

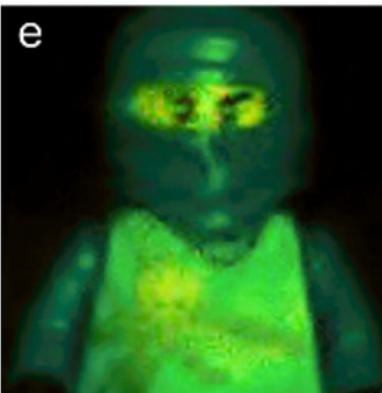
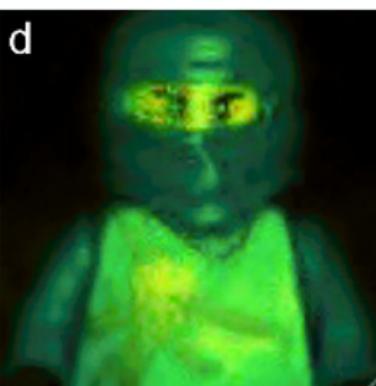
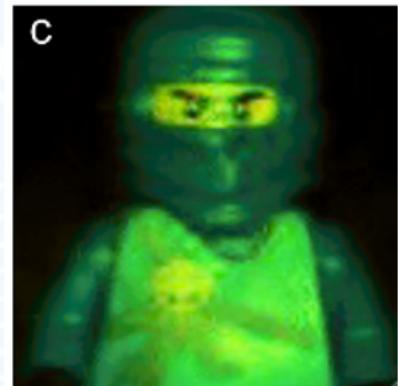
Original



Boolean



Unsigned grayscale



Binary

Hadamard

Signed grayscale



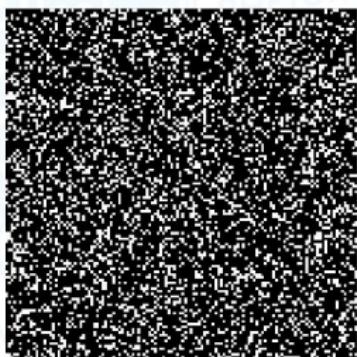
# Broad Family of Coded Apertures



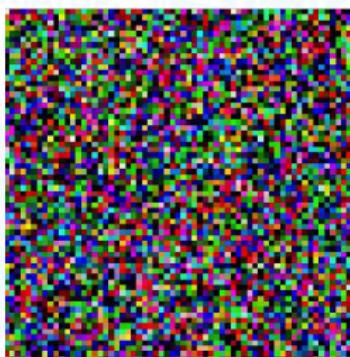
(a) Boolean



(b) Spectral Selective

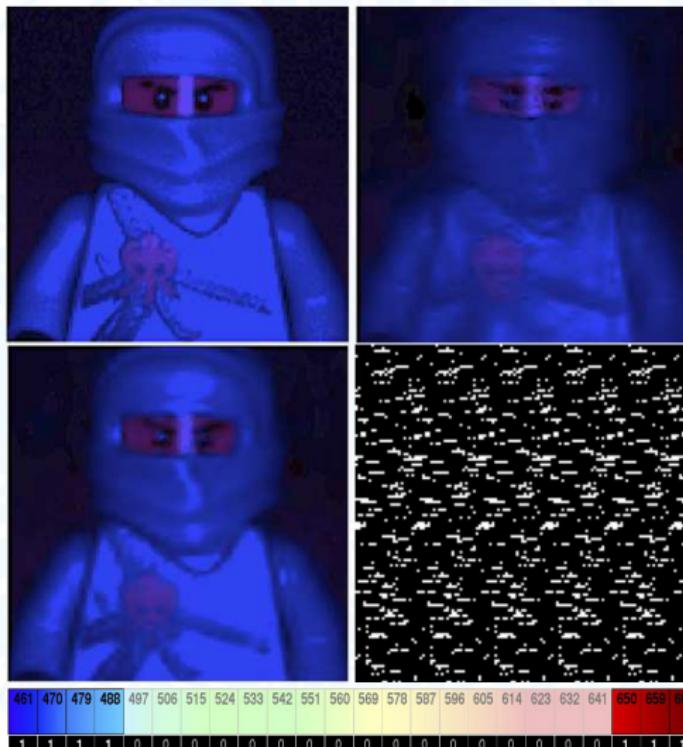


(c) Super-resolution



(d) Colored

# Coded Apertures for Spectral Selectivity



(Top-left) The desired image. Reconstructions: (Top-right) random codes (26.92 dB), (Middle-left) selective codes (31.02 dB). (Middle-right) Spectral selective coded aperture. (Bottom) Wavelengths of desired bands.



# Coded Apertures for Super-Resolution

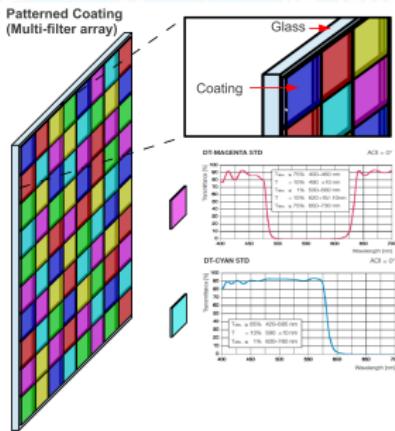


(Left) CASSI reconstruction (Right) Super-resolved reconstruction

# Colored Coded Aperture Spectral Imaging

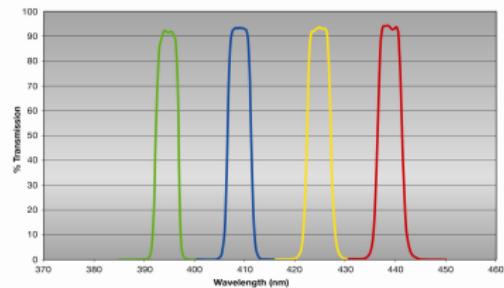
Patterned coating combines micro-lithography with optical coating technology.

- ▶ Precision patterned coating and patterns
- ▶ Sub-pixel alignment accuracy
- ▶ Ultraviolet, visible, NIR, SWIR
- ▶ Multi-filter arrays on monolithic substrates

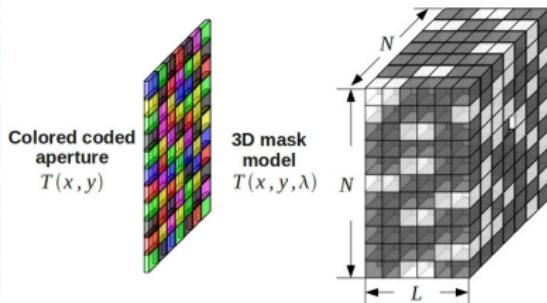


**PIXELTEC™**  
innovative patterned optical coatings

MSI - Patterned Optical Filters  
5nm Bandpasses



# Colored coded aperture model



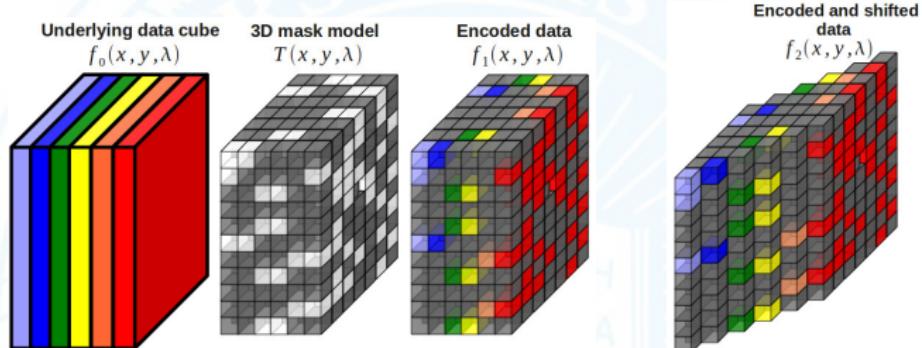
- ▶ Colored coded aperture is a color filter array
- ▶ Each entry is a wavelength selective color filter
- ▶ 3D Mask model has the same dimensions than the objective discrete data cube

<b>High-Pass Filter</b>  	<b>Band-Pass Filter</b>  	<b>All-Stop Filter</b>  
<b>Low-Pass Filter</b>  	<b>Band-Stop Filter</b>  	<b>All-Pass Filter</b>  

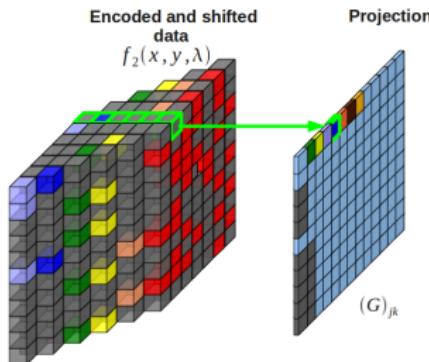


# Linear dispersion and focal plane array integration

## Linear shifting operation



## Focal plane array (FPA) projections



The number of pixels of the FPA detector is  
 $N(N + L - 1) \ll N^2 L$  (size of the spectral data cube)

# Random Boolean Code

Original Slice



Recovered Slice, PSNR = 38.89 dB



## 4 Colors Random Code

### Original Slice



Recovered Slice, PSNR = 49.61 dB



# Restricted Isometry Property of Colored CASSI

$$\mathbf{A} = \mathbf{H}\Psi, \quad \mathbf{f} = \Psi\theta, \quad \Psi = \mathbf{W} \otimes \Psi^{2D}$$

## Definition

$$(1 - \delta_s) \|\theta\|_2^2 \leq \|\mathbf{A}\theta\|_2^2 \leq (1 + \delta_s) \|\theta\|_2^2,$$

$$\delta_s = \max_{\mathcal{T} \subset [N^2L], |\mathcal{T}| \leq S} \|\mathbf{A}_{|\mathcal{T}|}^T \mathbf{A}_{|\mathcal{T}|} - \mathbf{I}\|_2^2,$$

$\mathbf{A}_{|\mathcal{T}|}$ ,  $|\mathcal{T}|$  columns of  $\mathbf{A}$  indexed by the set  $\mathcal{T}$

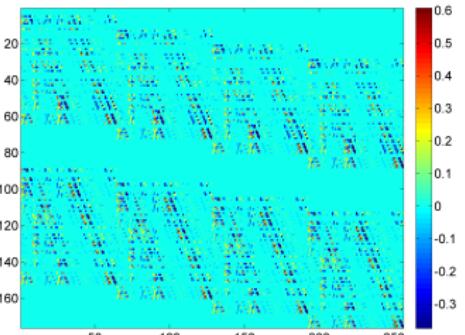
$$\delta_s = \max_{\mathcal{T} \subset [N^2L], |\mathcal{T}| \leq S} \lambda_{\max} (\mathbf{A}_{|\mathcal{T}|} \mathbf{A}_{|\mathcal{T}|}^T - \mathbf{I})$$

$$(\mathbf{A}_{|\mathcal{T}|})_{ir} = \mathbf{h}_i \psi_{\Omega_r}$$

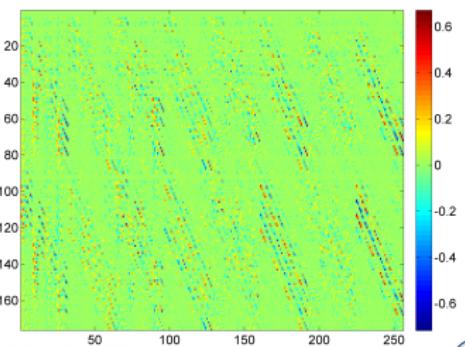
$$= \sum_{k=0}^{L-1} (\mathbf{t}_k^{\ell_i})_{m_i - kN} \Psi_{m_i + k(N'), \Omega_r}$$

$$(\mathbf{h}_i)_j = \begin{cases} \left(\mathbf{t}_{k_j}^{\ell_i}\right)_{i - \ell_i V - k_j N}, & \text{if } i - \ell_i V = j - k_j N' \\ 0, & \text{otherwise,} \end{cases}$$

$$\mathbf{A} = \mathbf{H}\Psi^{2D}$$

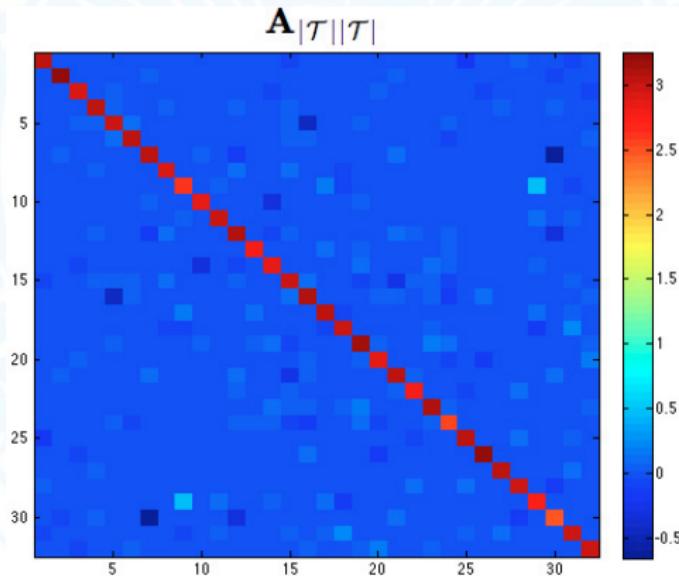


$$\mathbf{A} = \mathbf{H} (\mathbf{W} \otimes \Psi^{2D})$$

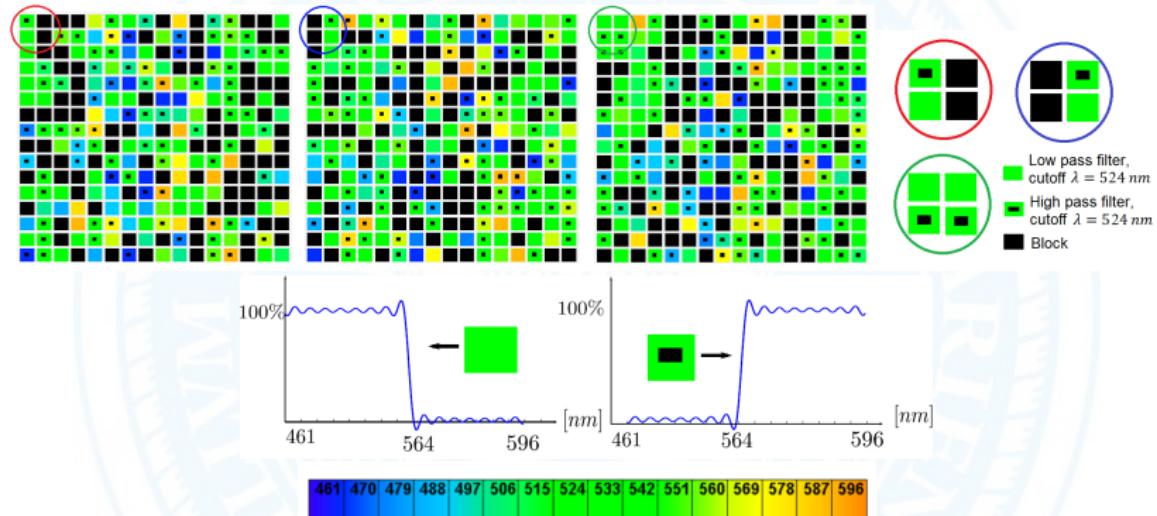


$$\mathbf{A}_{|\mathcal{T}||\mathcal{T}|} = \mathbf{A}_{|\mathcal{T}|}^T \mathbf{A}_{|\mathcal{T}|}$$

$$\left(\mathbf{A}_{|\mathcal{T}||\mathcal{T}|}\right)_{r,u} = \sum_{\ell=0}^{K-1} \sum_{i=0}^{V-1} \sum_{k_1=0}^{L-1} \sum_{k_2=0}^{L-1} \left(\mathbf{t}_{k_1}^{\ell}\right)_{i-k_1N} \left(\mathbf{t}_{k_2}^{\ell}\right)_{i-k_2N} \Psi_{i+k_1N',\Omega_r} \Psi_{i+k_2N',\Omega_u}$$

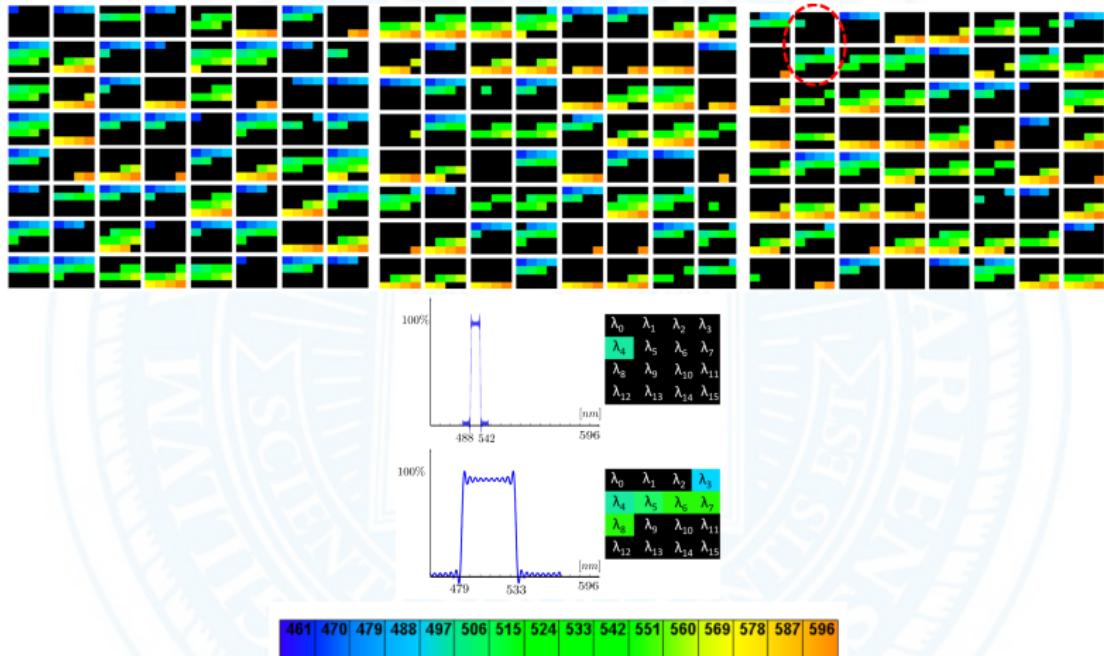


# Results: LH-Colored Coded Aperture

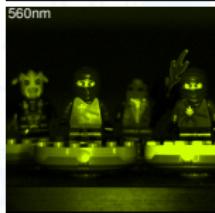
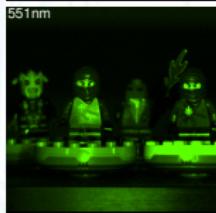


A geometric interpretation of the colored coded apertures for LH-Colored filters (3 shots).

# Results: B-Colored Coded Aperture



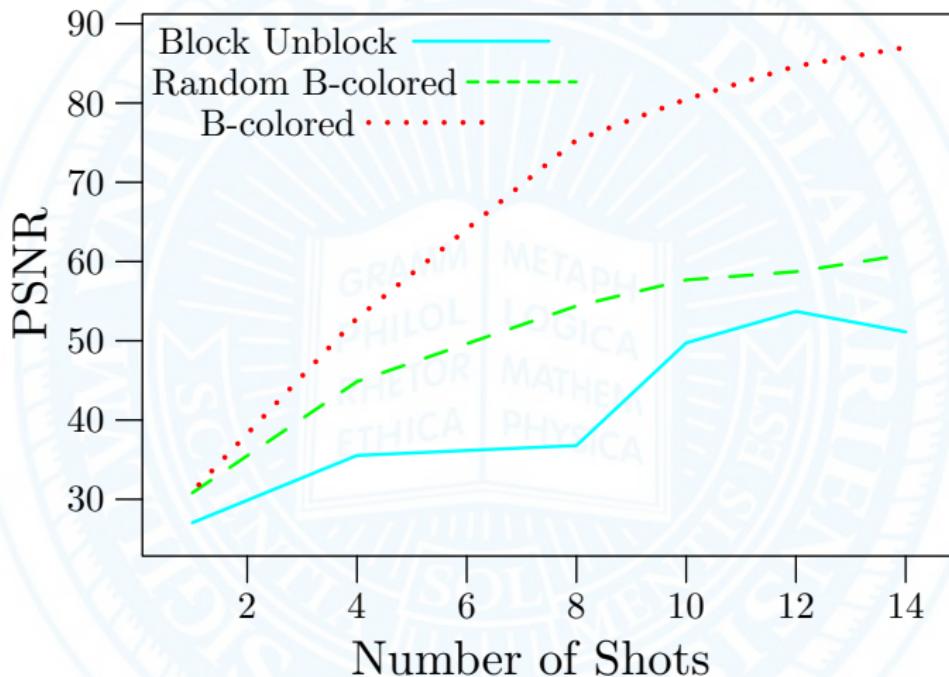
Geometric interpretation of colored coded apertures for B-filters (3 shots)



## Data Base:

- ▶ 16 channels
- ▶ 461-596nm
- ▶  $256 \times 256$  pixels

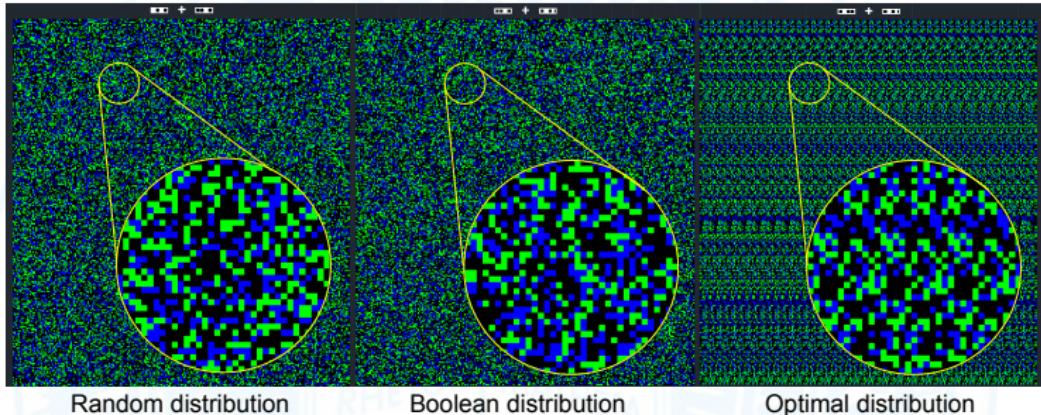
# Reconstruction From B-Colored Coded Apertures



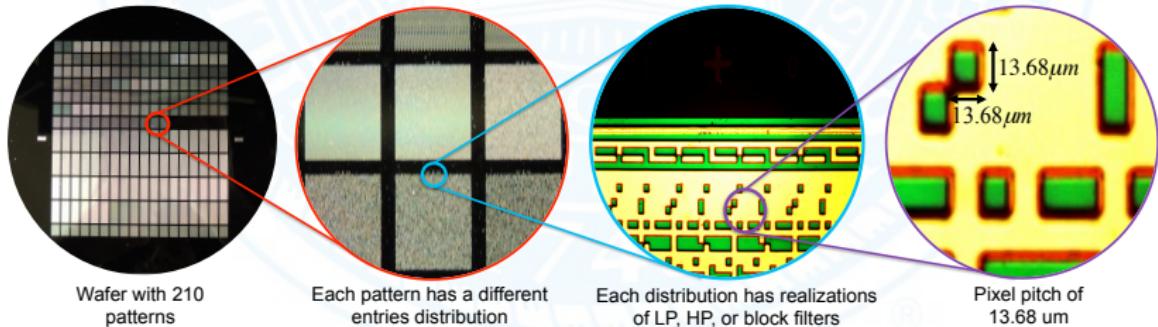
Mean PSNR of the reconstructed data cubes.

## Real Design and Fabrication

## ► Design

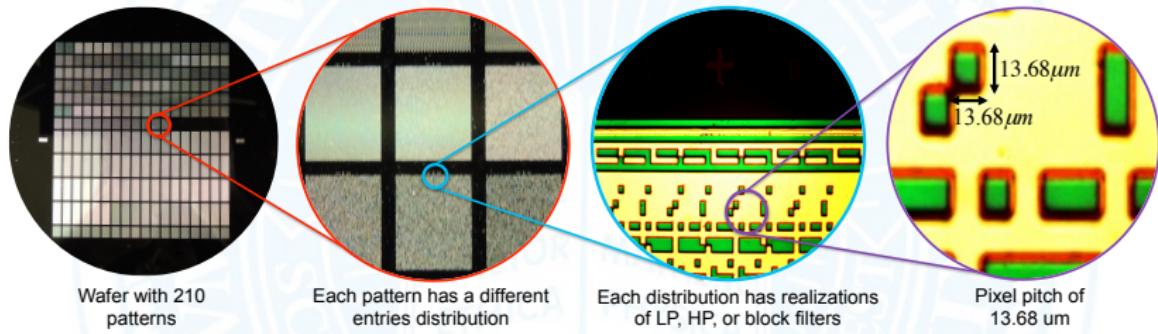


## ► Fabrication

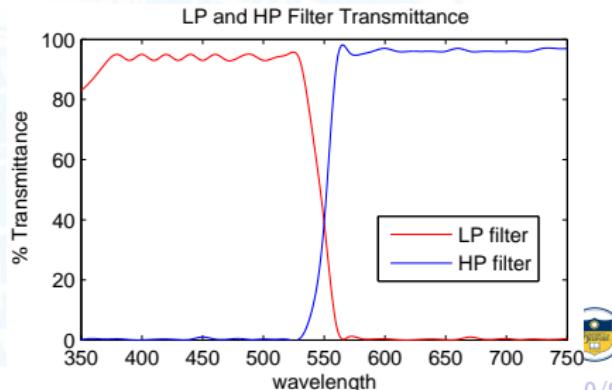


# CCA Fabrication (Pixelteq Corp.)

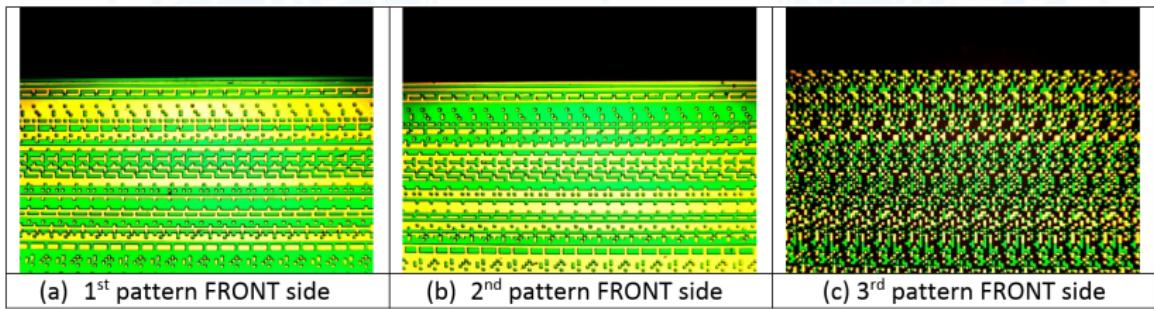
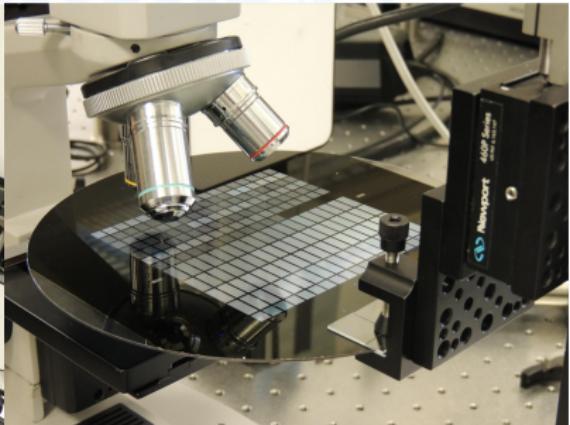
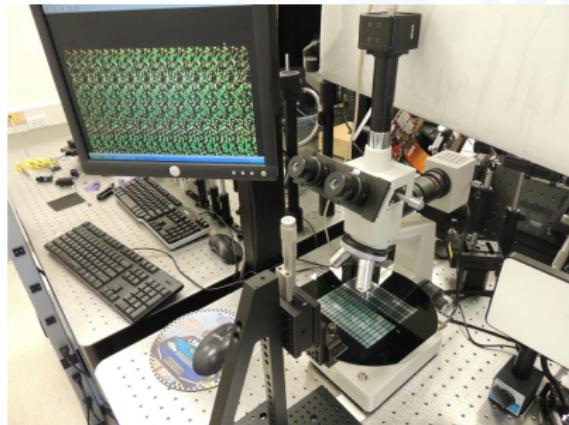
- ▶ Eagle XG substrate with 1.1 mm thickness
- ▶ Each pattern has  $256 \times 256$  pixels with  $20\mu\text{m}$  pitch
- ▶ Sharp-transition low pass and high pass filters



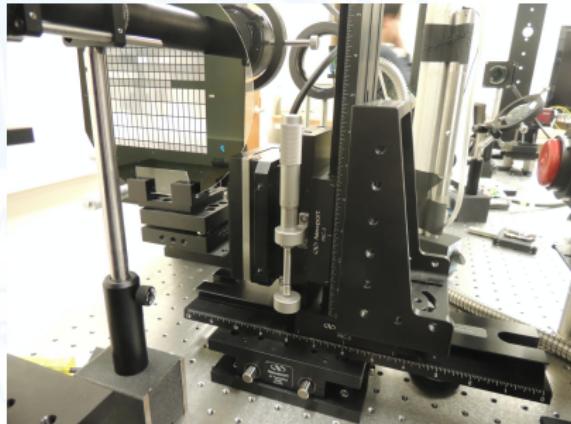
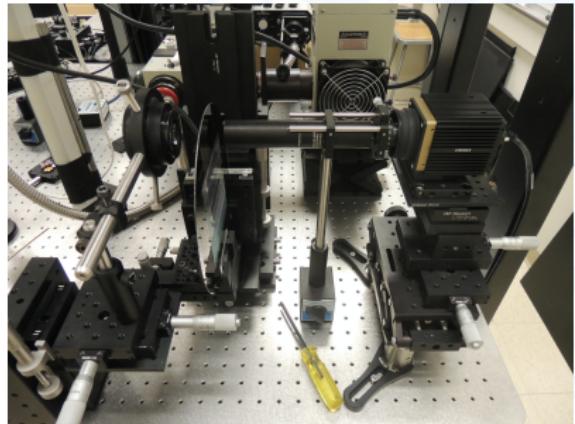
- ▶ LP transmittance: 93% in 350-450 nm, and 0.25% in 560-750 nm.
- ▶ HP transmittance: 0.04% in 350-450 nm, and 96% in 560-750 nm.



# Analysis of the CCA



# CCA-based CASSI System Testbed

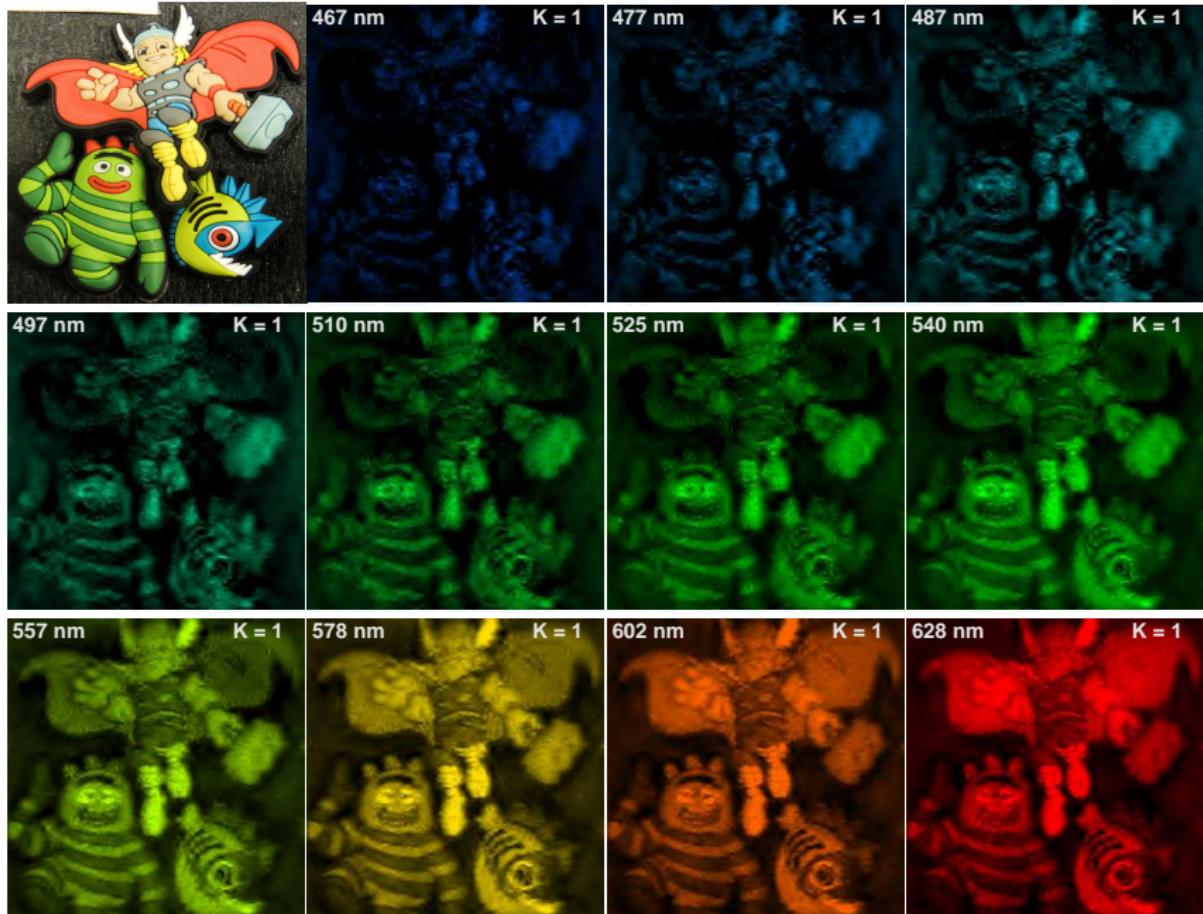


<b>Objective Lens</b>	Leica COLORPLAN-P2
<b>CCA</b>	256 × 256 pix., 20 $\mu\text{m}$
<b>Prism</b>	Double Amici prism
<b>Sensor</b>	Bobcat B2021 (2048 × 2048 pix., 7.4 $\mu\text{m}$ )
<b>Attainable resolution</b>	256 × 256 × 11

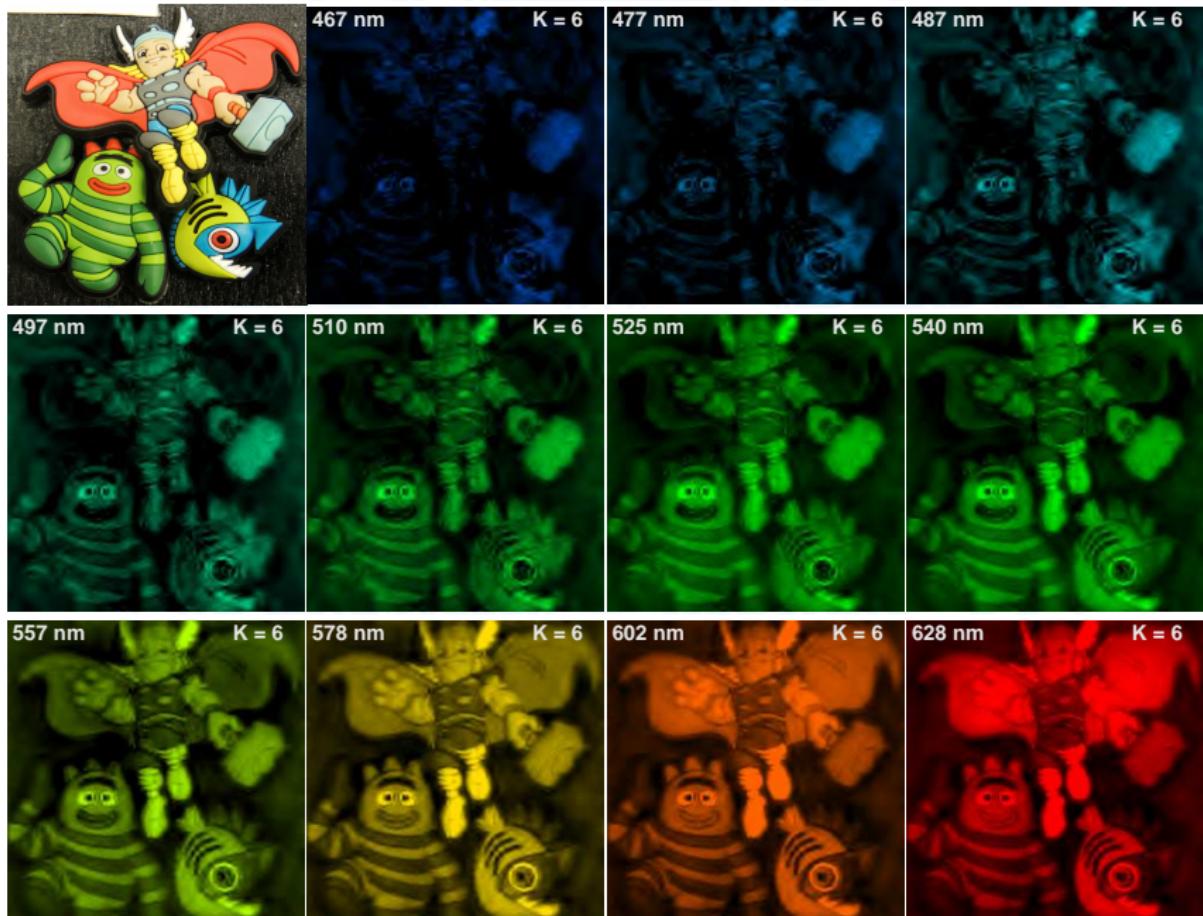
## Preliminar Results: $K = 1, 6, 11$ Random Snapshots



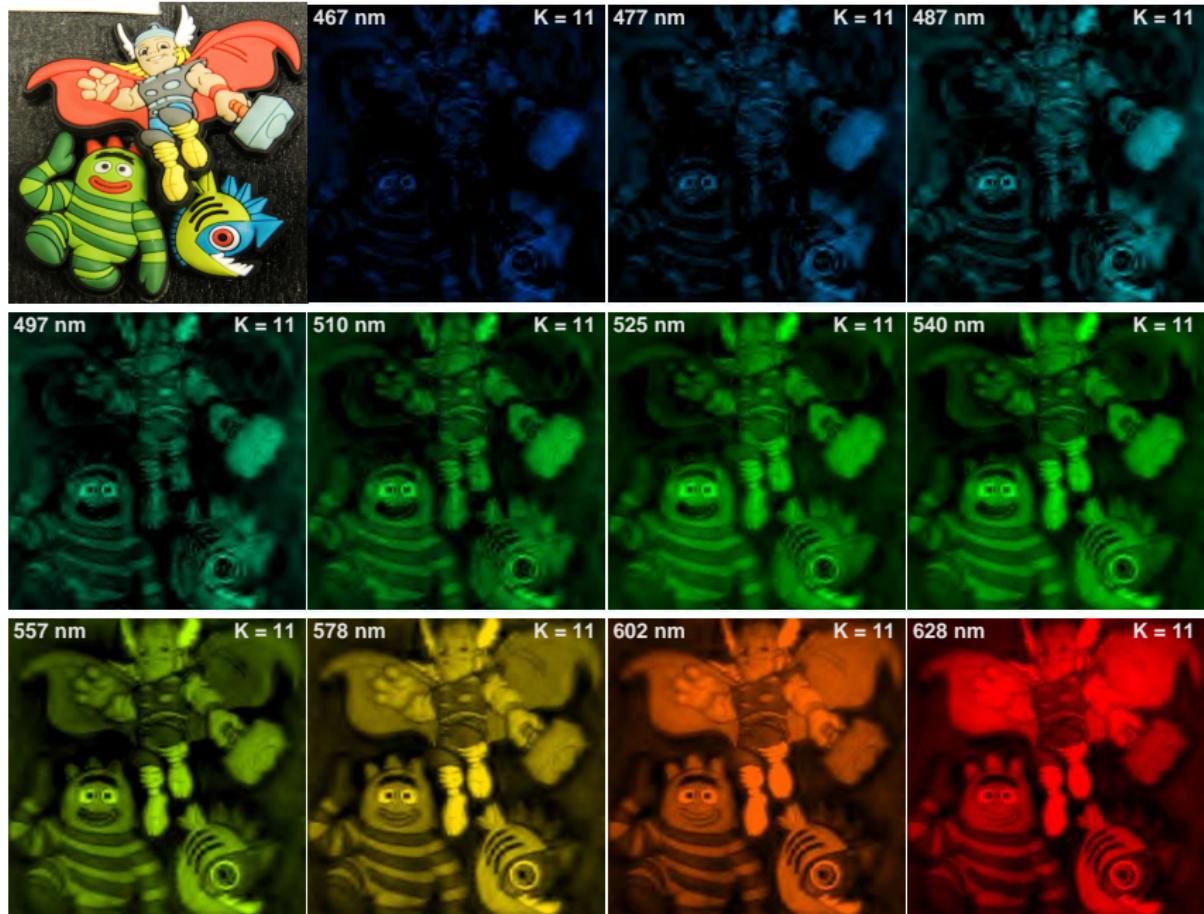
# Preliminar Results: $K = 1$ Random Snapshots



# Preliminar Results: $K = 6$ Random Snapshots



# Preliminar Results: $K = 11$ Random Snapshots

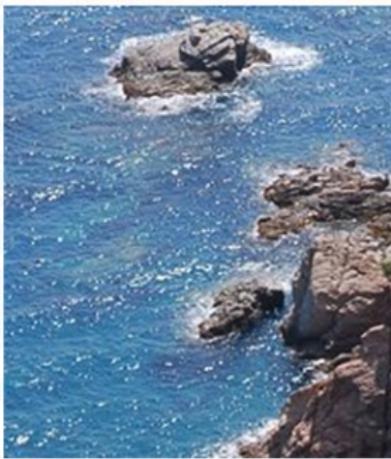


# CSI Extensions

- ▶ Compressive Spectral + Polarization Imaging
- ▶ Compressive Imaging with Rotating Polar Coded Apertures
- ▶ Compressive Spectral Imaging + Side Information
- ▶ Compressive Spectral + Integral Imaging
- ▶ Compressive Spectral + 3D ranging (Time-of-Flight Imaging)

# Compressive Spectral + Polarization Imaging

Thru a Standard Lens

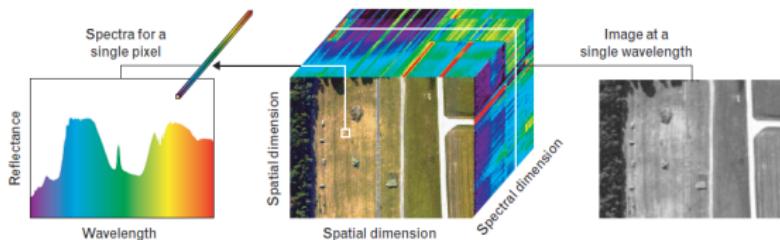


Thru a Polarized Lens

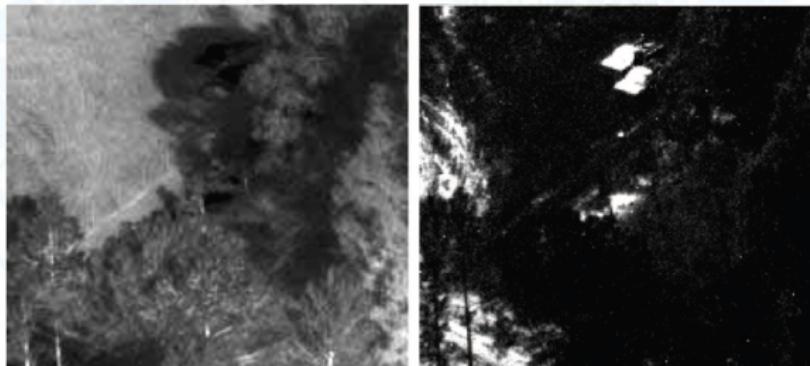


# Compressive Spectral+Polarization Imaging

- ▶ Spectral imaging provides a spectral profile of targets.



- ▶ Polarization imaging provides surface information of targets, such as smoothness and orientation.



# Stokes Parameters and Polarization Intensity

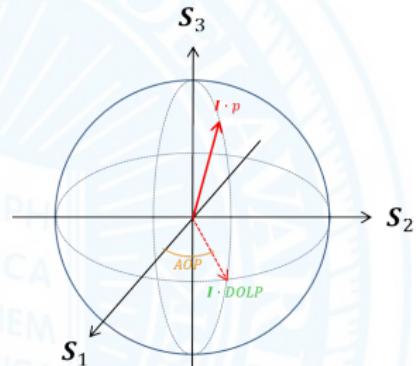
$I_\theta$  is the intensity of  $\theta^\circ$  linear polarization.  $I_{left}$  and  $I_{right}$  represent the intensity of left and right handed polarization.

$$S_0 = I_0 + I_{90} = I_{45} + I_{135}$$

$$S_1 = I_0 - I_{90}$$

$$S_2 = I_{45} - I_{135}$$

$$S_3 = I_{right} - I_{left}$$



From the Stokes parameters, we calculate the degree of linear polarization and angle of polarization:<sup>1</sup>

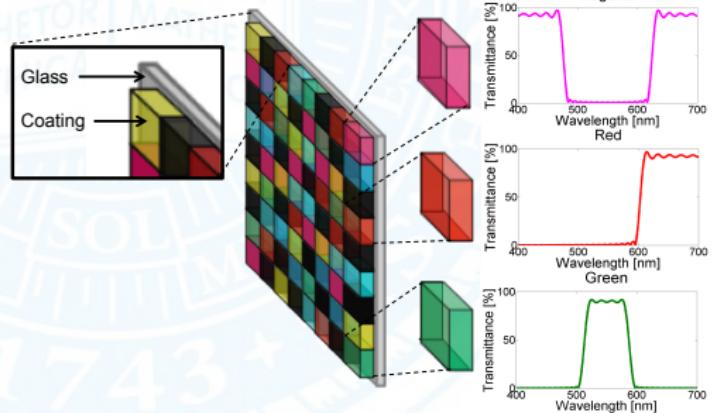
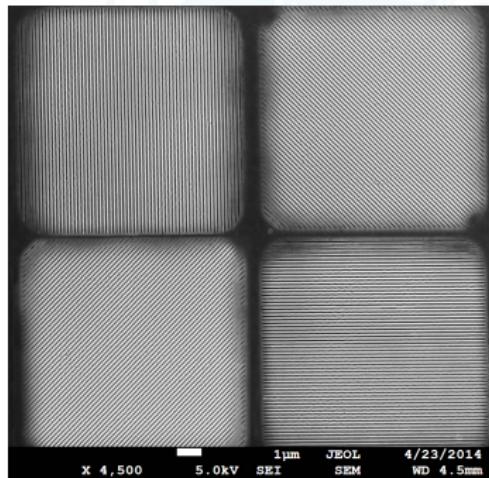
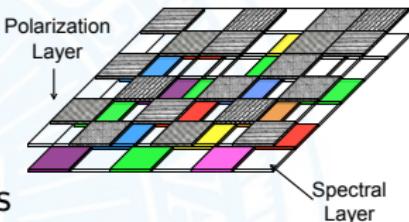
$$DoLP = \sqrt{\frac{S_1^2 + S_2^2}{S_0^2}}$$

$$AoP = \frac{1}{2} \arg \tan\left(\frac{S_2}{S_1}\right)$$

# Spectro-Polarimetric Pixelated Technology

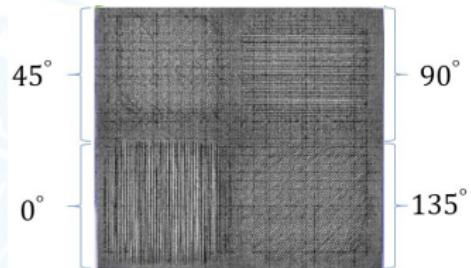
Patterned coating combines micro-lithography with optical coating technology.

- ▶ Precision patterned coating and patterns
- ▶ Sub-pixel alignment accuracy
- ▶ Ultraviolet, visible, NIR, SWIR
- ▶ Multi-filter arrays on monolithic substrates

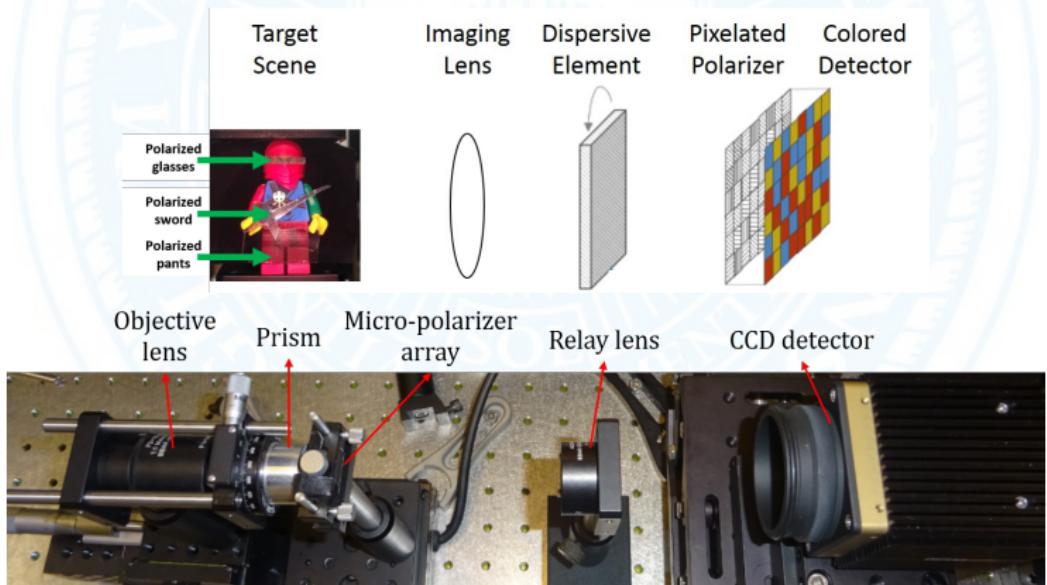


# Proposed Imaging System

- ▶ Detector with spectral and polarization filtering.
- ▶ Rotation of the dispersive element enables multiple snapshots.

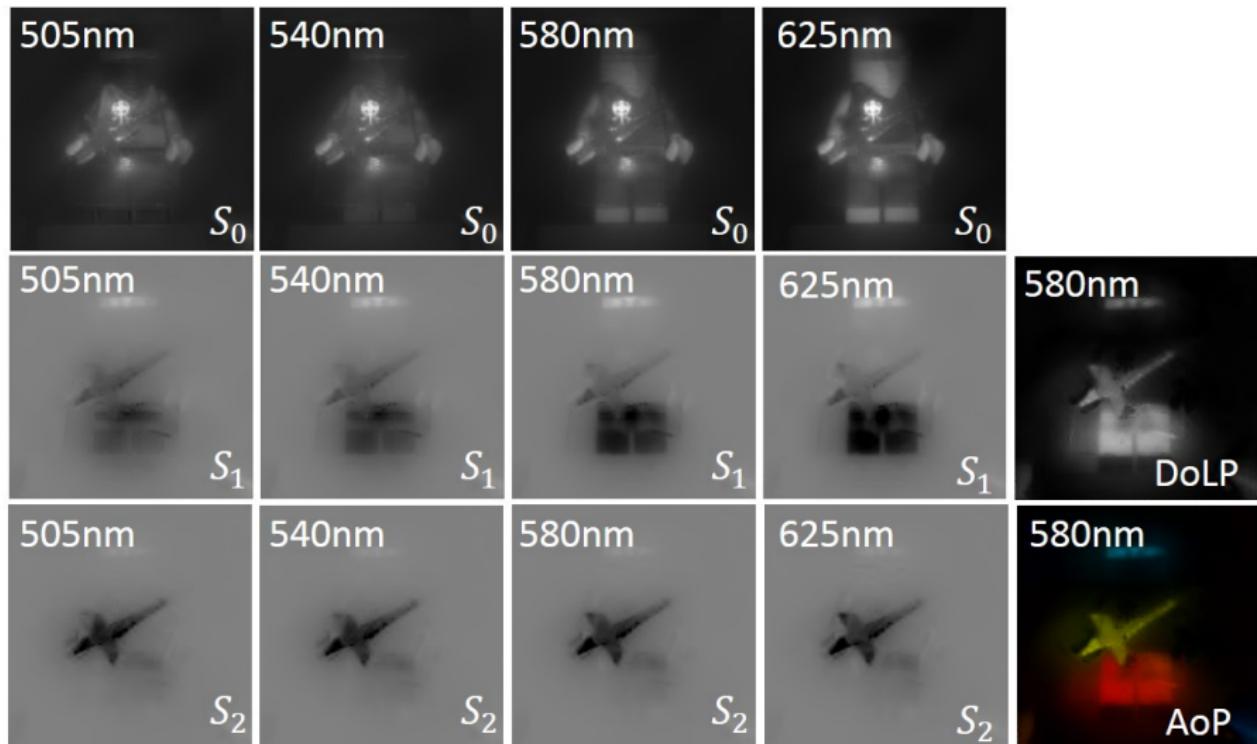


Zoomed pixelated polarizer

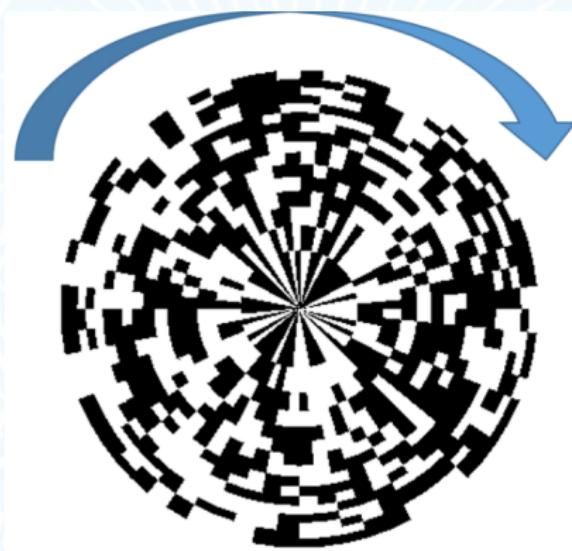


## Reconstruction Results with 4 snapshots

- ▶ 3 Stokes image planes ( $S_0$ ,  $S_1$ ,  $S_2$ ) are reconstructed
- ▶ 8 spectral bands are reconstructed. (Shown: 505, 540, 580, 625 nm).
- ▶ Degree of linear polarization (DoLP) and angle of polarization (AoP).



# Compressive Spectral Imaging with Polar Coded Apertures

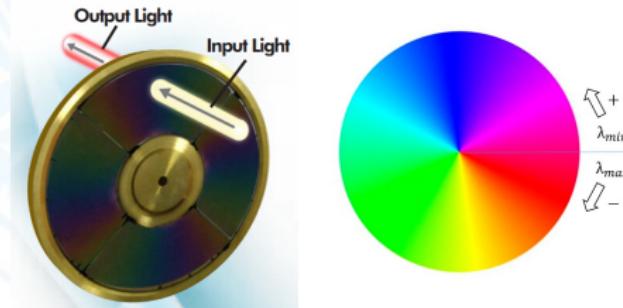


# Polar Coded Aperture on Spinning Munitions

- Given the natural spin of munitions, spatial coding via a rotating polar coded aperture.

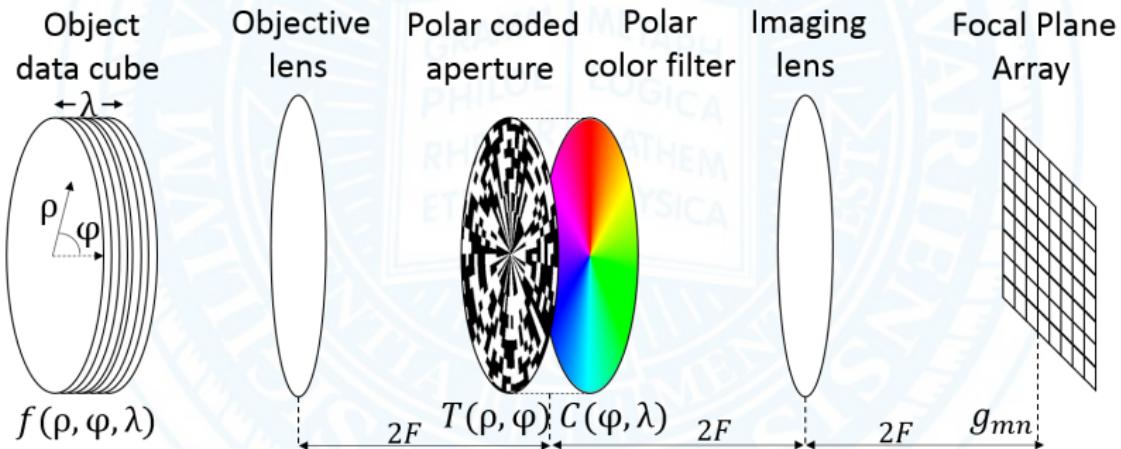


- Spectral modulation via a circular variable filter (CVF)<sup>2</sup>.



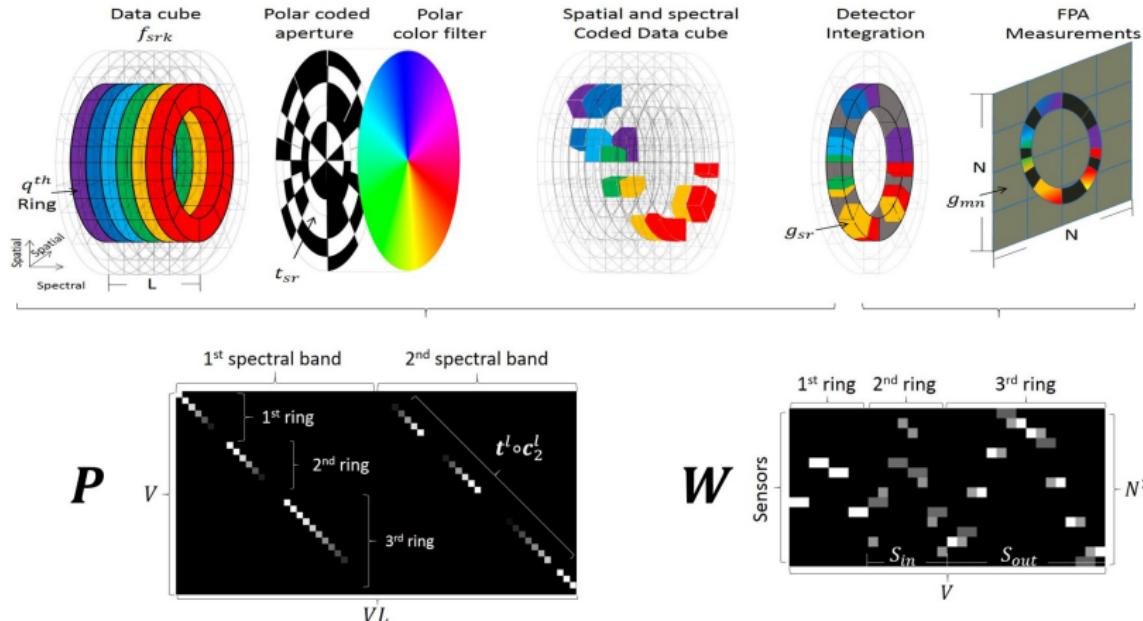
# Polar Coded Aperture Compressive Spectral Imager

- ▶ Polar coded aperture combined with a CVF.
- ▶ Low resolution focal plane array (FPA).
- ▶ Imager rotating with the munition spin.



Rotating compressive polar coded aperture spectral imaging system.

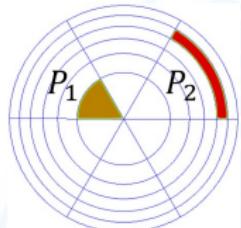
# System Forward Model



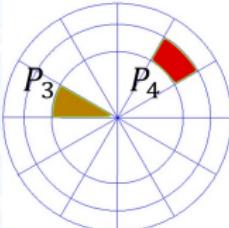
- ▶ **P:** Spatial and spectral modulation.
- ▶ **W:** Rectangular to polar pixel transformation.
- ▶ System forward matrix:  $\mathbf{H} = \mathbf{WP}$ .

# Imaging System Optimization

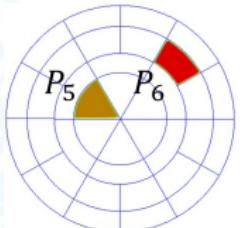
- ▶ Coded aperture geometry design.



Spokes/Rings=1

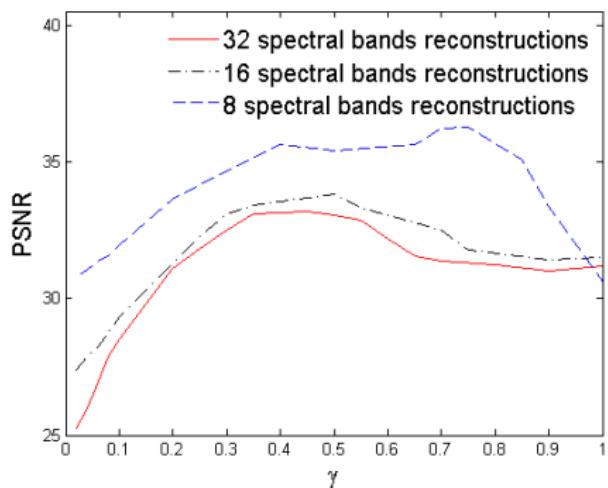


Spokes/Rings=4

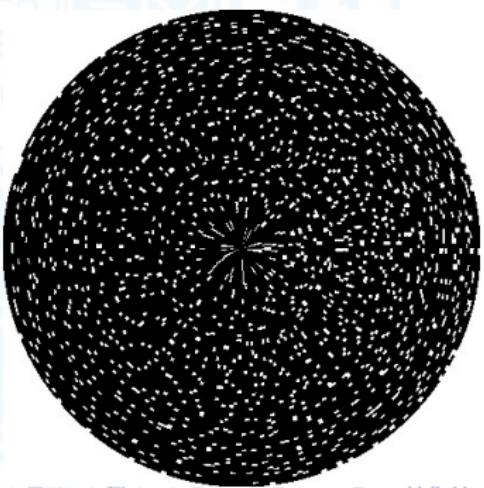


2-sections

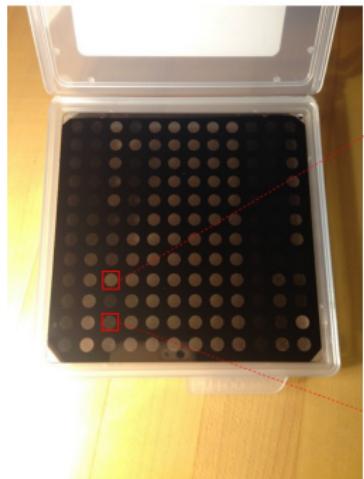
- ▶ CVF bandwidth analysis.



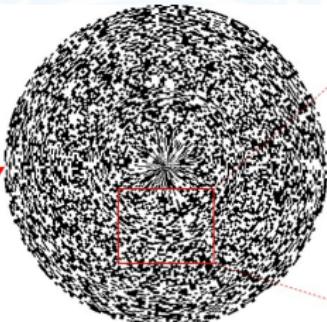
- ▶ Aperture optimization.



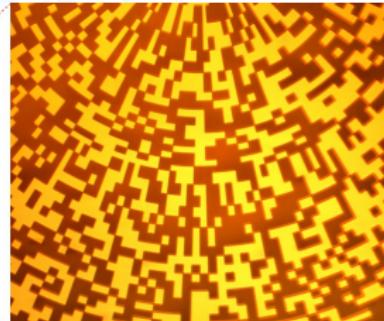
# Polar Coded Aperture Fabrication



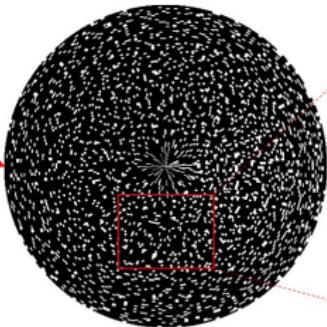
144 Aperture Code Patterns  
Each contains  $128^2$  pixels



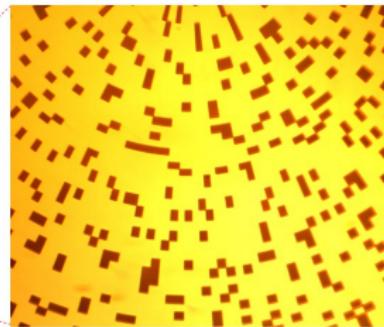
Random



Microscope zoomed



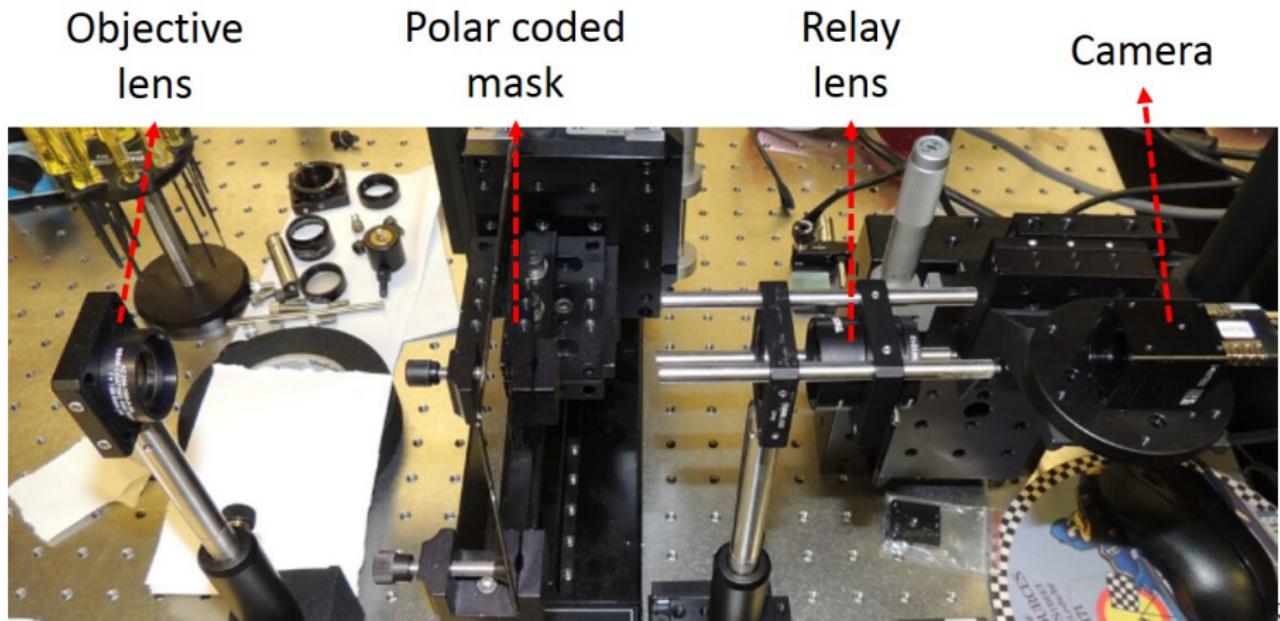
Optimized



Microscope zoomed

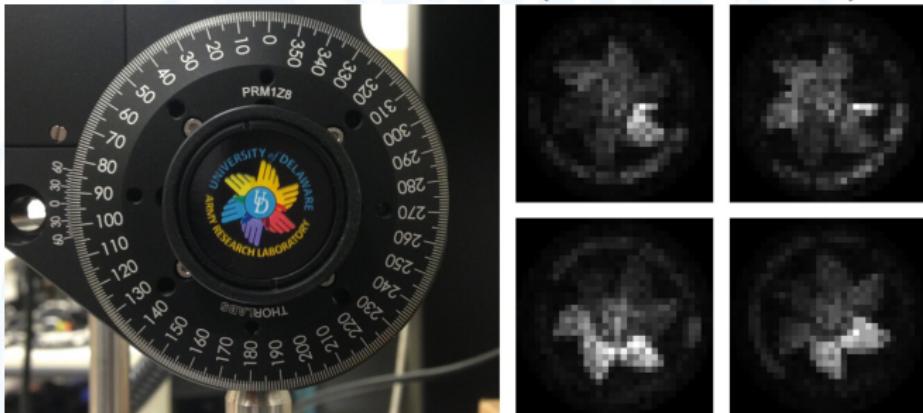
# Laboratory Implementation

- ▶ Target Rotation instead of imager rotation.
- ▶ CVF emulated through a set of bandpass filters.
- ▶ Sensor measurements are grouped into  $32 \times 32$ .

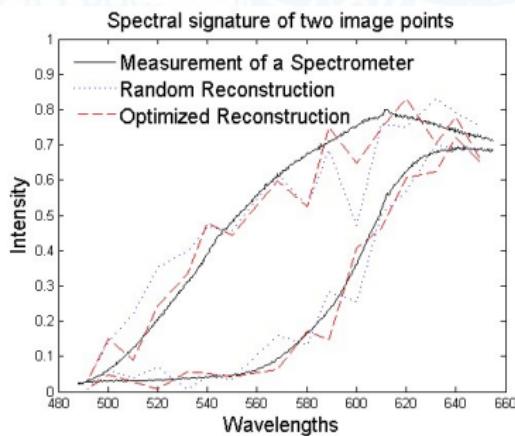


# Laboratory Measurements and Spectral Reconstructions

- 64 shots are captured in a  $2\pi$  rotation (75% compression).

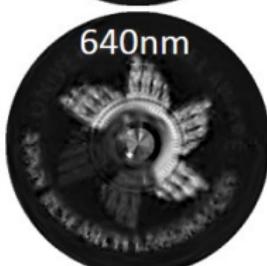


- Spectral Reconstructions.



# Laboratory Reconstruction

- ▶ 16 spectral bands are reconstructed (9 are shown).



# Compressive Spectral Imaging with Side Information

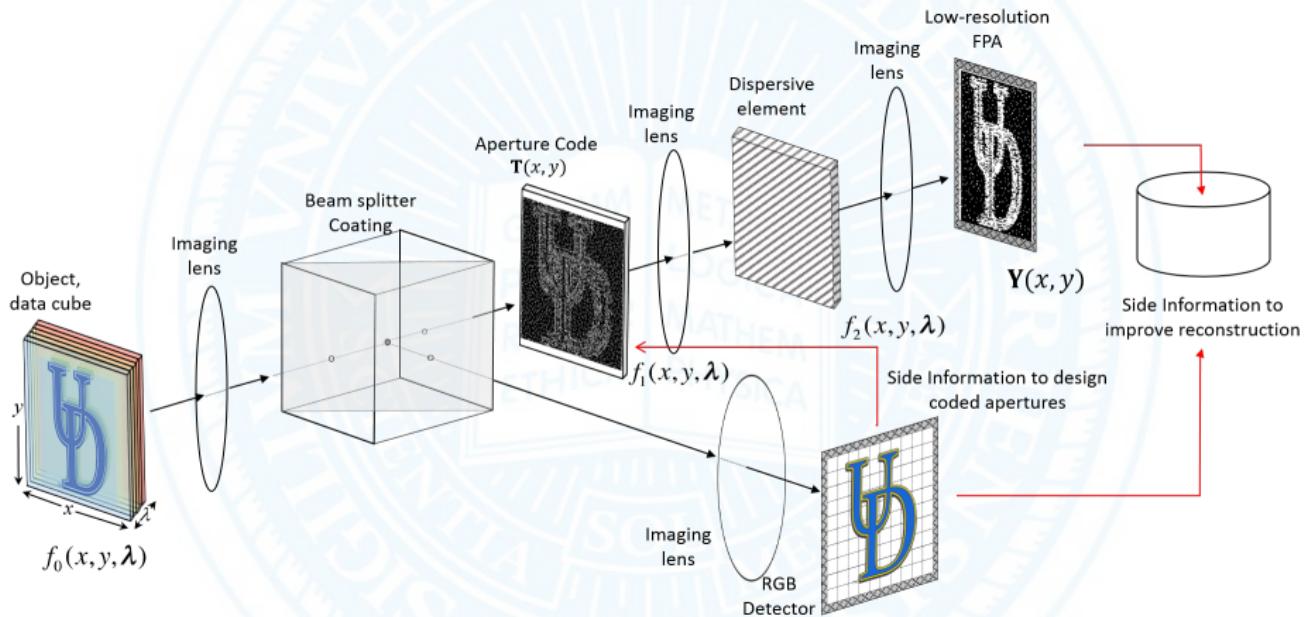


Compressive  
Measurement



Side- Info

# CSI + Side Information System Design



## CSI vs CSI+Side Information

CASSI



CASSI with RGB Side Information



- ▶ Improvement of around 10 dBs.

# Reconstruction Process

## Optimization Problem

$$\hat{\mathbf{f}} = \Psi \{ \operatorname{argmin}_{\boldsymbol{\theta}} \|\mathbf{y} - \mathbf{H}\Psi\boldsymbol{\theta}\|_2 + \tau \|\boldsymbol{\theta}\|_1 \}$$



CASSI System:

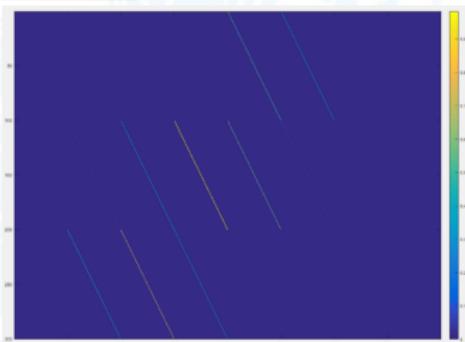
$$\begin{bmatrix} \mathbf{y} \end{bmatrix} = \begin{bmatrix} & & \mathbf{H} \\ & & \\ & & \end{bmatrix} \begin{bmatrix} \mathbf{f} \end{bmatrix}$$



CASSI System + RGB Side Information:



$$\begin{bmatrix} \mathbf{y} \\ \mathbf{y}_R \\ \mathbf{y}_G \\ \mathbf{y}_B \end{bmatrix} = \begin{bmatrix} & & \mathbf{H} \\ & & \\ \mathbf{R} & \mathbf{G} & \mathbf{B} \end{bmatrix} \begin{bmatrix} \mathbf{f} \end{bmatrix}$$



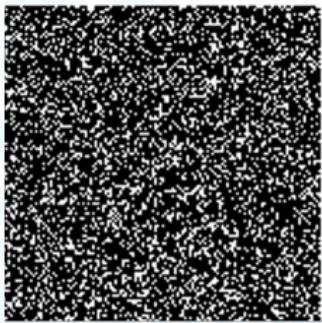
- ▶  $\boldsymbol{\theta}$  is an  $S$ -sparse representation of  $\mathbf{f}$
- ▶  $\tau$  is a regularization constant
- ▶  $\Psi = \Psi_1 \otimes \Psi_2$ ,
  - ▶  $\Psi_1$  is a 2D-Wavelet Symmlet 8 basis
  - ▶  $\Psi_2$  is the 1D-Discrete Cosine Transform
- ▶ GPSR algorithm is used to obtain the reconstructions

# Simulations results

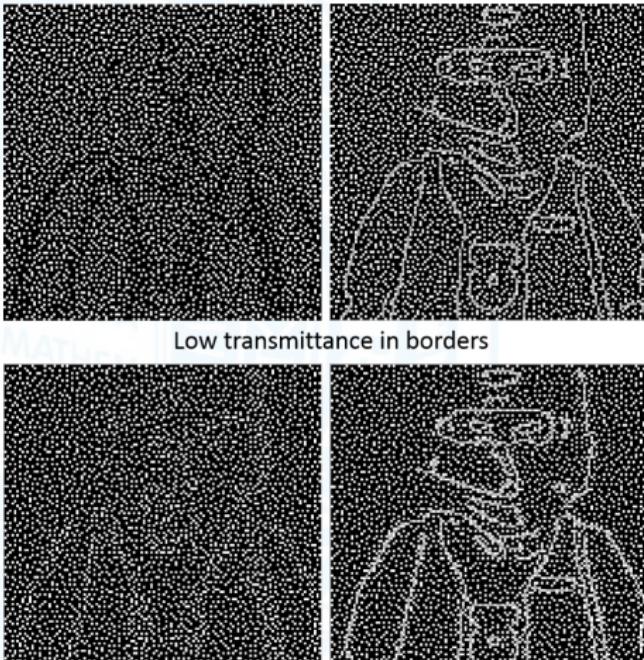
- ▶ Test data cube  $\mathcal{F}$ :  $128 \times 128 \times 8$
- ▶ Reconstruction algorithm: GPSR
- ▶ Coded apertures  $T = 0.25$



RGB image



Random Coded Aperture

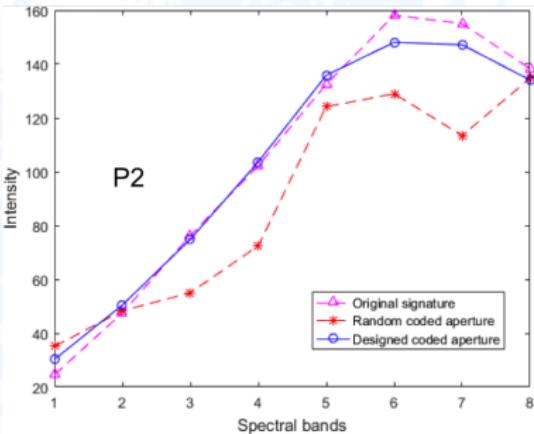
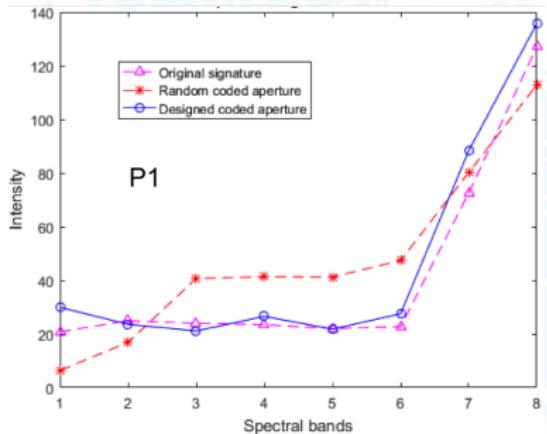
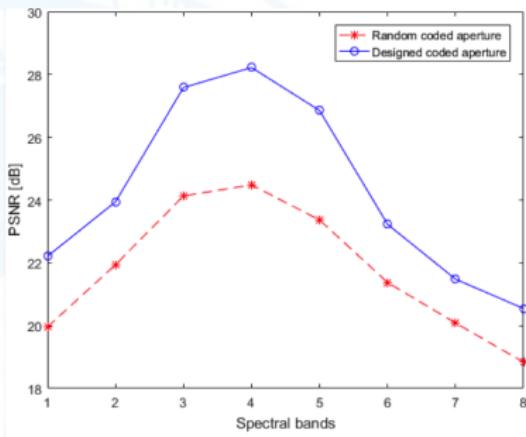


High transmittance in borders

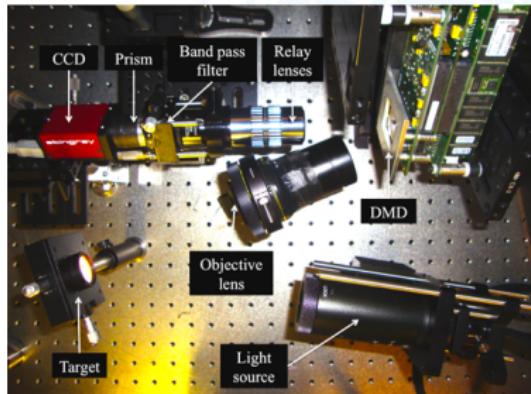
# Spatial Reconstruction



# Spectral Reconstruction



# Experimental results



CASSI

- ▶ Test data cube  $\mathcal{F}$ :  $128 \times 128 \times 10$
- ▶ DMD  $\Delta_c = 13.68\mu m.$
- ▶ CCD camera  $\Delta_d = 6.45\mu m.$
- ▶ Coded Aperture  $T$ :  $128 \times 128$  pixels.



Target 1

Target 2

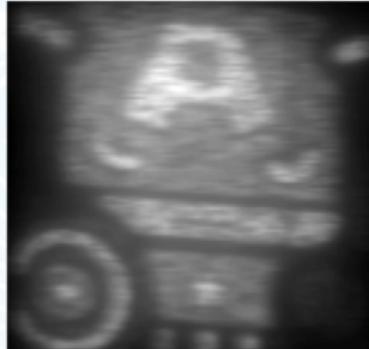
Target 3

# Real Measurements and Reconstructions

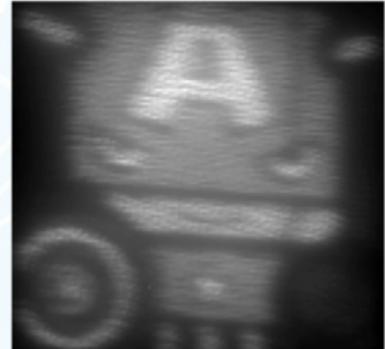
RGB SHOT



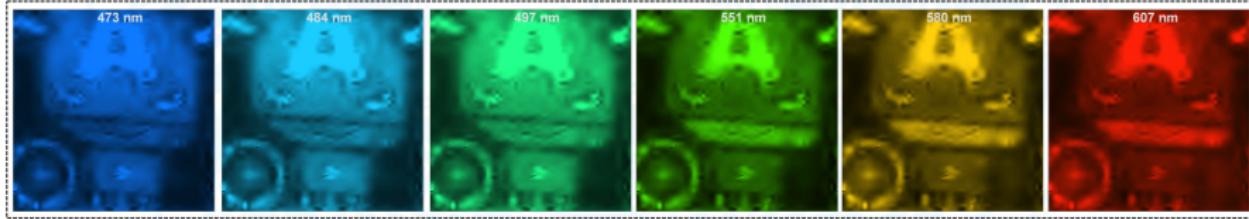
Random Coded aperture



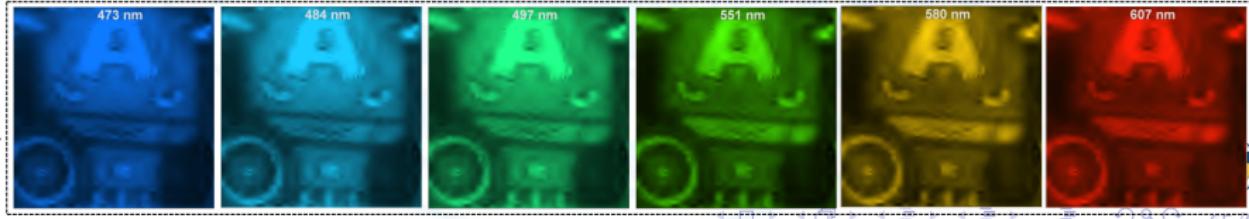
Designed Coded aperture



Random Coded Aperture



Designed Coded Aperture

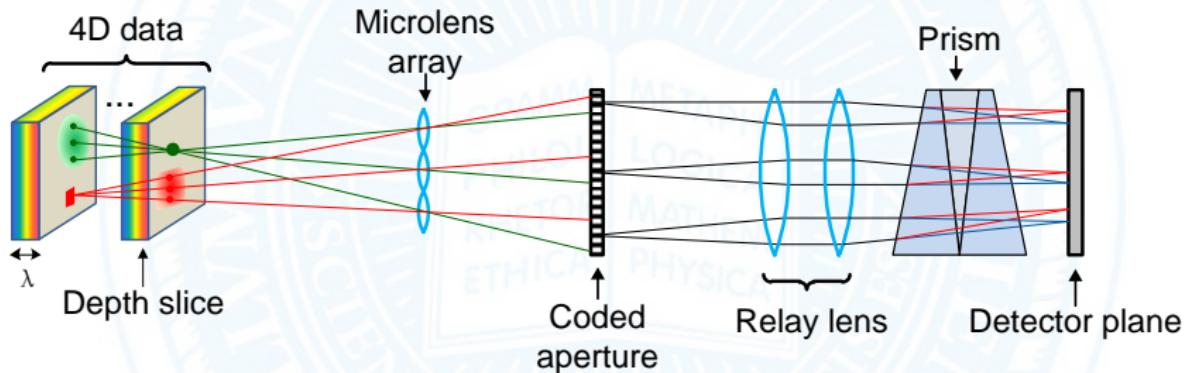


# Compressive Spectral + Integral Imaging



# Proposed System with Integral Imaging

- ▶ A micro-lens array captures **different perspectives** of the scene.
- ▶ Coded aperture **spatially encodes** 4D data cube.
- ▶ Relay lens transmits the array of coded light **through the prism**.
- ▶ Sensor captures **2D grayscale** compressive measurements.

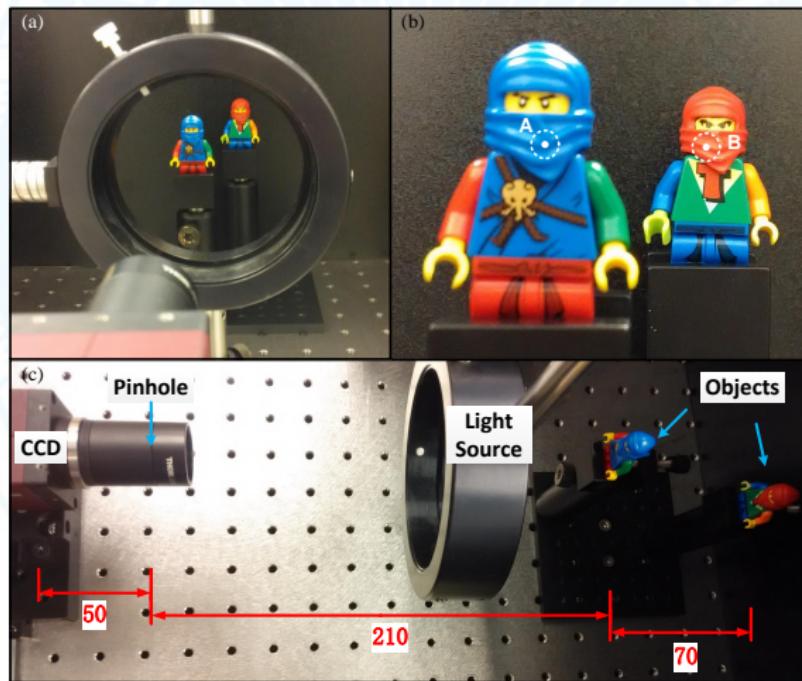


## III-posed reconstruction problem

- ▶ 4D image captured by 2D compressive random projections
- ▶ FPA pixels ( $\sim KN^2$ )  $\ll$  4D Datacube voxels ( $N^2DL$ )
- ▶ Compression ratio:  $DL : 1$
- ▶ The system can be seen as a 2D array of CASSI systems

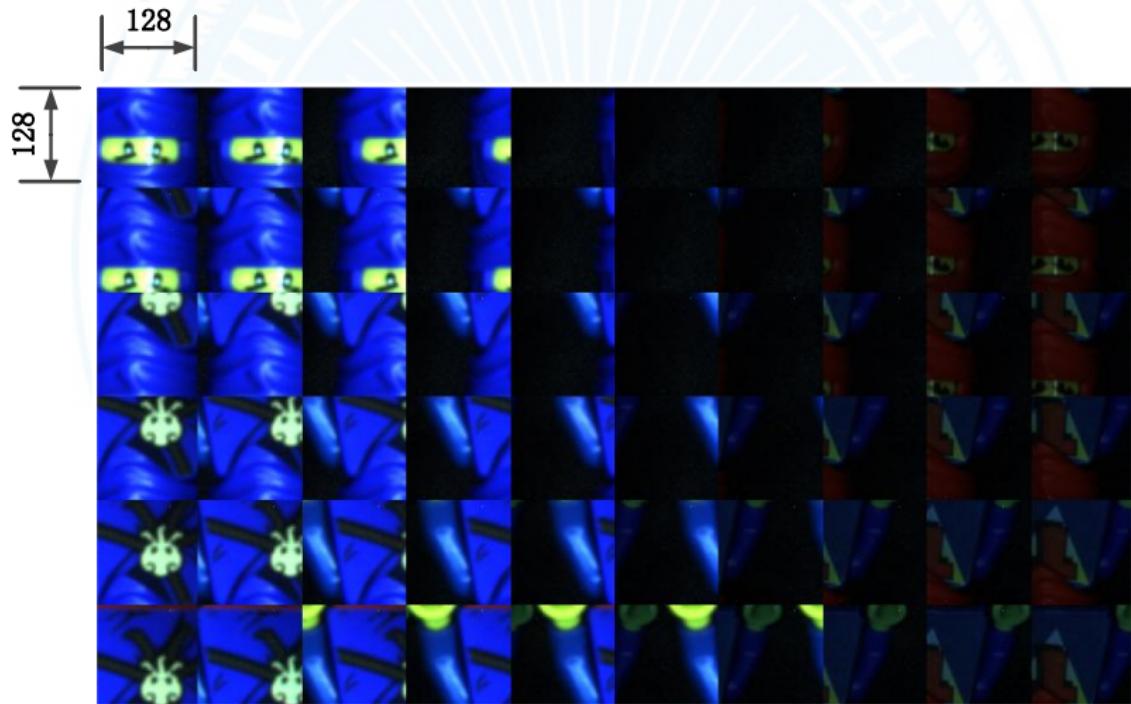
# Simulations: 4D Datacube (1/2)

- ▶ 4D datacube captured in the lab to perform simulations.
- ▶ A pinhole CCD camera was built to scan the scene along X-Y.
- ▶ Two objects were used as the target, at distances of 210mm and 280mm.
- ▶ Light at 11 wavelengths between 450-650 nm was used to illuminate the target.



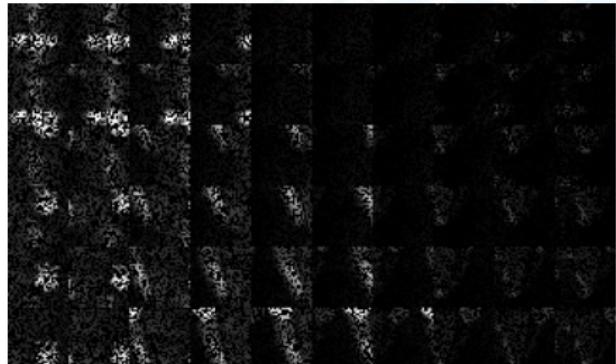
## Simulations: 4D Datacube (2/2)

- ▶ Elemental Images:  $6 \times 10$  equally spaced by 2.5 mm vertically and horizontally
- ▶ Elemental Images size:  $128 \times 128$  pixels (Final reconstruction size:  $768 \times 1280$ )
- ▶ The 11 spectral bands are mapped to RGB for illustration.

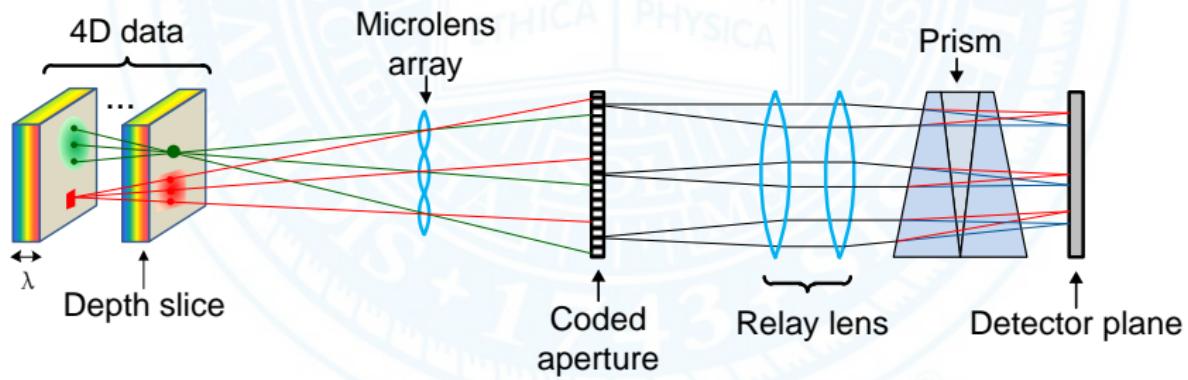
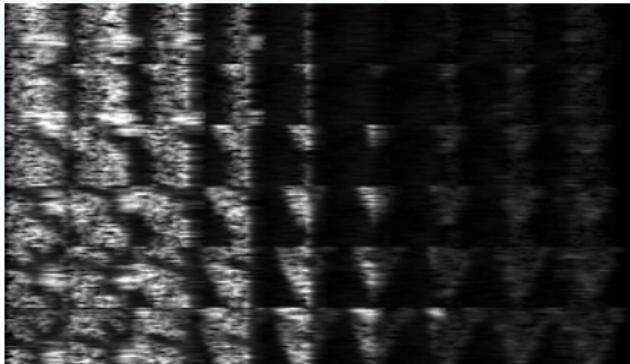


# Simulations: Coded data and Measurements

Elemental Images in the coded aperture

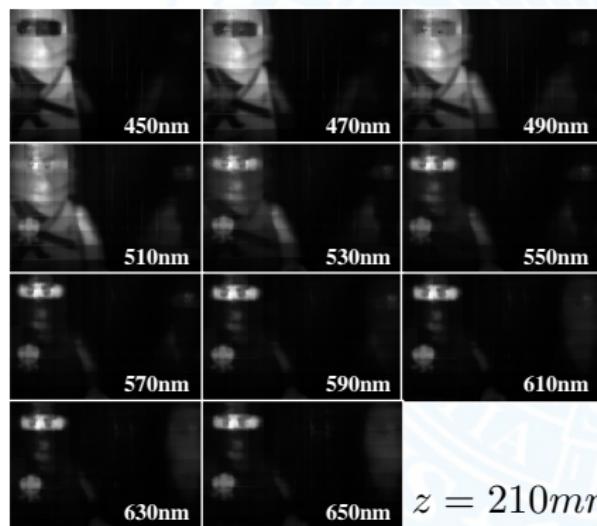


Compressive measurements

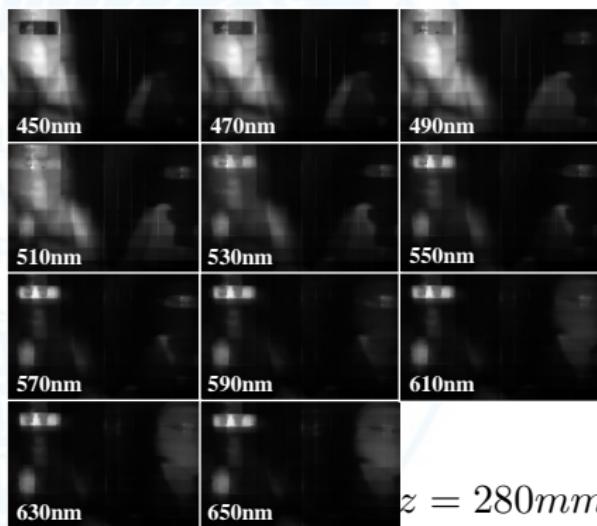


## Simulation Results: Spectral Reconstruction (1/2)

- ▶ The TwiST algorithm was used with total-variation penalty.
- ▶ Results attained with 6 snapshots ( $\sim 25\%$  data).
- ▶ 11 spectral bands are shown for comparison.
- ▶ Left object located at 210 mm, and right object at 280 mm.



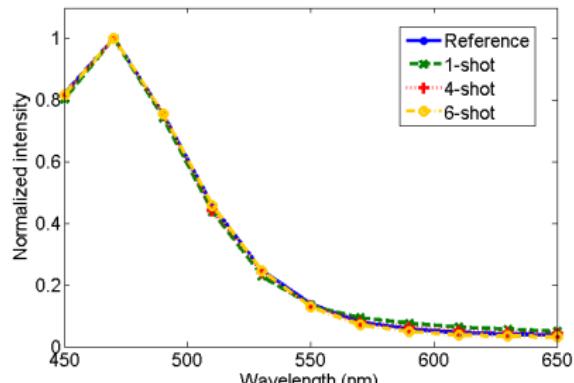
$z = 210\text{mm}$



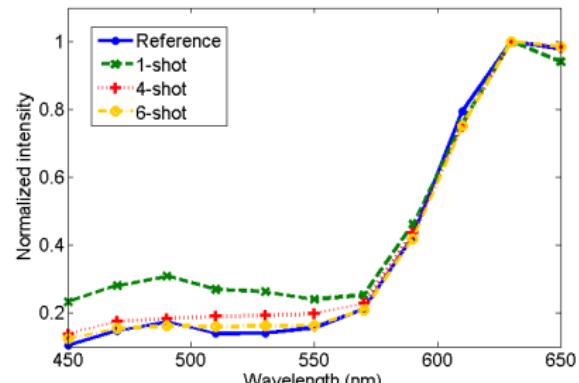
$z = 280\text{mm}$

J. Bioucas-Dias, M. Figueiredo, "A new TwiST: two-step iterative shrinkage/thresholding algorithms for image restoration", IEEE Transactions on Image Processing, (2007).

## Simulation Results: Spectral Reconstruction (2/2)

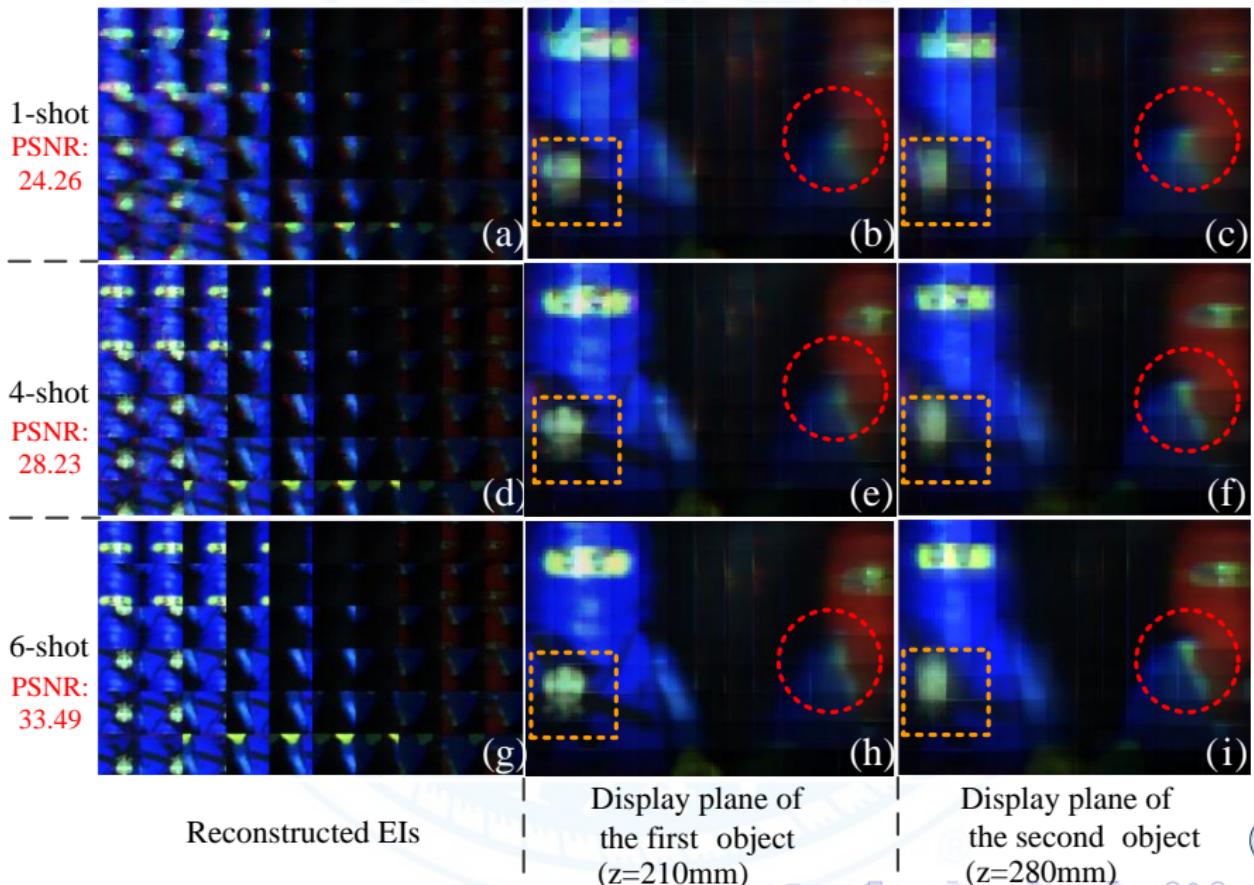


(a) Point A



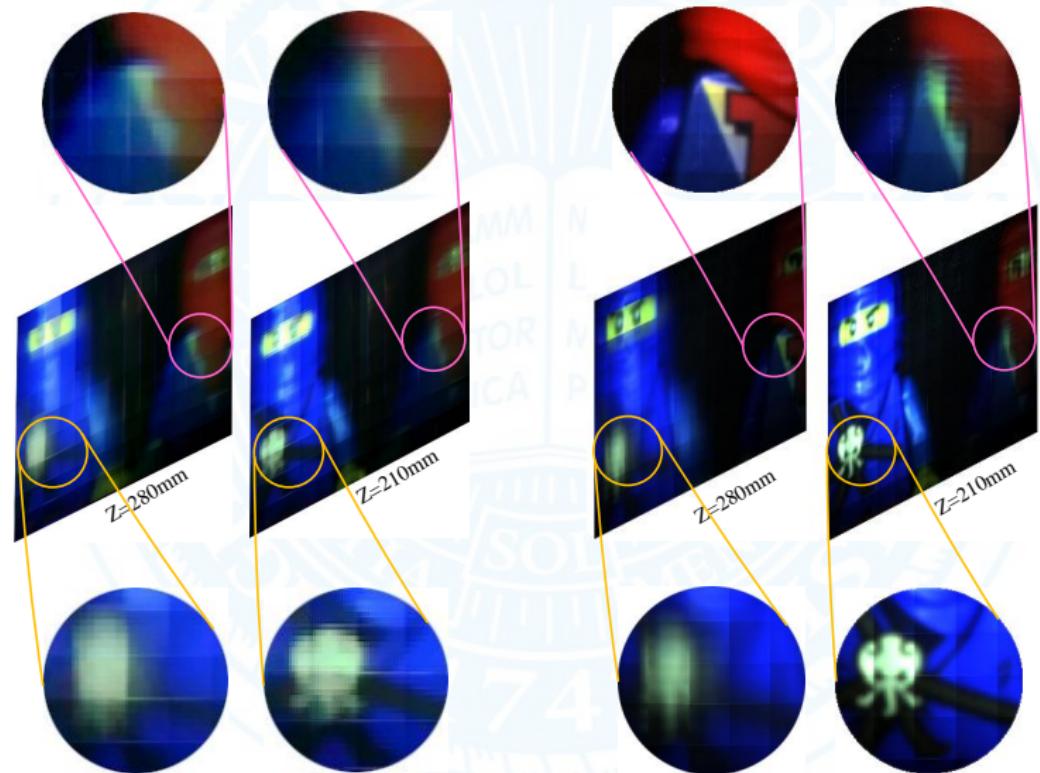
(b) Point B

# Simulation Results: Depth Reconstruction (1/2)

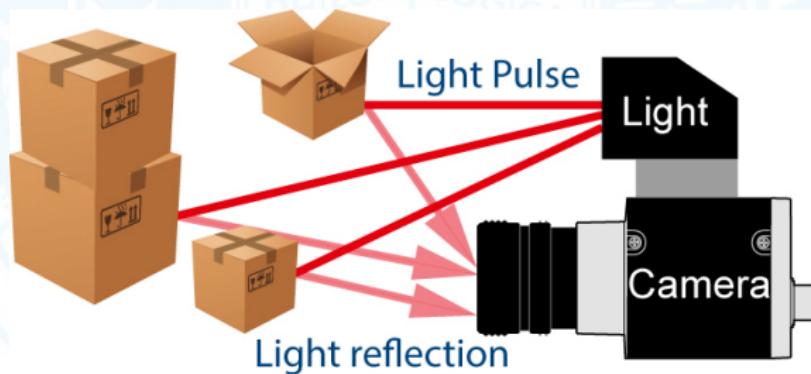


## Simulation Results: Depth Reconstruction (2/2)

- ▶ Comparison for (left) 6-shot estimation (right) original data

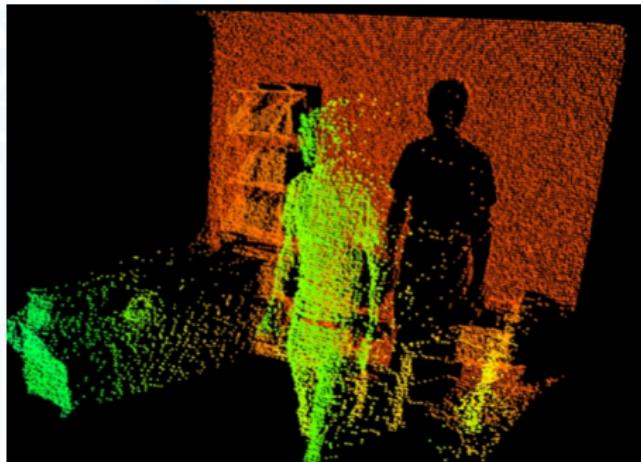
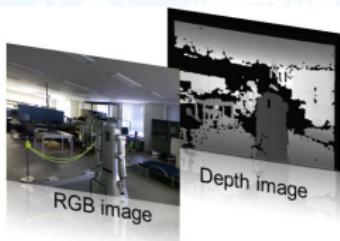
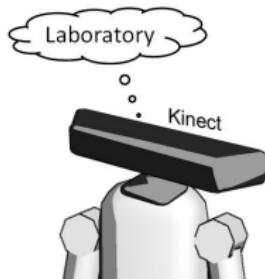
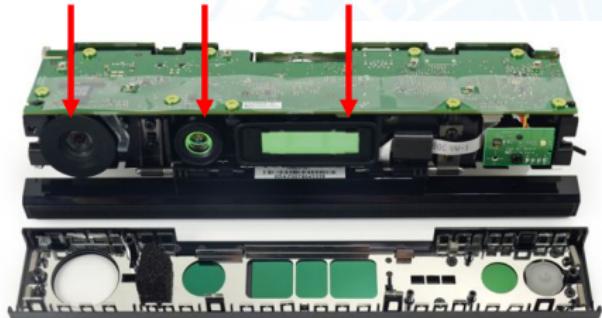


# Compressive Spectral+Time-of-Flight Imaging

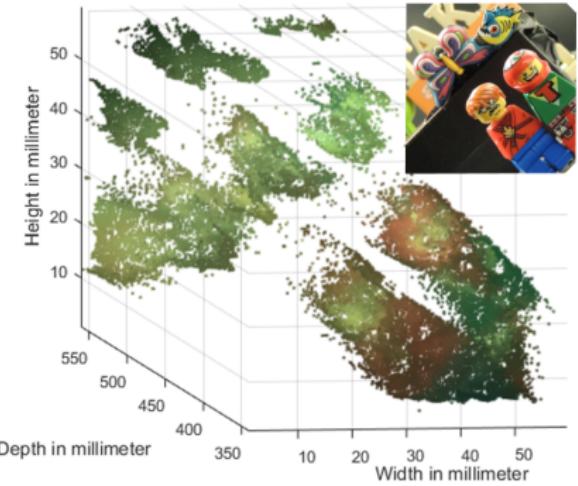


# Project Overview

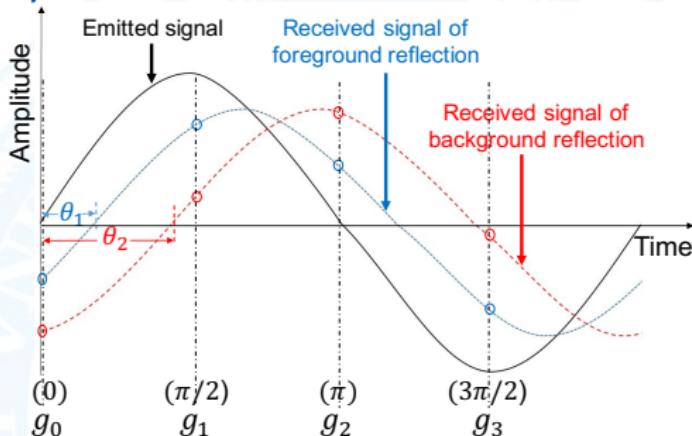
RGB ToF NIR  
Camera Camera Illumination



Point cloud with RGB texture



# ToF-Sensor Operation



$$\begin{aligned} g_{m,n} &= \frac{1}{\tau} \int_{t=0}^{\tau} A f_{m,n} dt = A f_{m,n}, (\tau = \text{exposure}, A = \text{ambient light}, f = \text{reflectance}) \\ &= \frac{1}{\tau} \int_{t=0}^{\tau} (A + B \cos(2\pi f t + \theta)) f_{m,n} dt, (\text{Add modulated light with phase } \theta) \\ &= \frac{1}{\tau} \int_{t=0}^{\tau} (A + B \cos(2\pi f t + \theta)) r(t) f_{m,n} dt. (\text{Gating function } r(t) \text{ with duty cycle } D) \\ &= \frac{K}{\tau} \int_{t=0}^{\frac{D\tau}{K}} (A + B \cos(2\pi f t + \theta)) f_{m,n} dt. (\text{Integrating over one period}) \\ &\approx D f_{m,n} (A + B \cos(\theta)) (\text{Assuming a small } D). \end{aligned}$$

## ToF Principles: Depth Estimation

- ▶ Solve for  $\theta$ , collecting 4 images indexed by  $\ell$  with a phase shift:

$$(g_\ell)_{m,n} \approx Df_{m,n} \left( A + B \cos \left( \frac{\pi\ell}{2} + \theta \right) \right) \quad (2)$$

- ▶ Define the difference of complements,  $g_{0,2}$  and  $g_{3,1}$ , according to:

$$\begin{aligned} (g_{0,2})_{m,n} &= (g_0)_{m,n} - (g_2)_{m,n} & (g_{3,1})_{m,n} &= (g_3)_{m,n} - (g_1)_{m,n} \\ &= 2Df_{m,n}B \cos \theta & &= 2Df_{m,n}B \sin \theta \end{aligned}$$

- ▶ Extract  $\theta$  by treating  $(g_{0,2})_{m,n}$  and  $(g_{3,1})_{m,n}$  as quadrature components:

$$\begin{aligned} \theta &= \tan^{-1} \left( \frac{(g_{3,1})_{m,n}}{(g_{0,2})_{m,n}} \right), \\ &= \tan^{-1} \left( \frac{2Df_{m,n}B \sin \theta}{2Df_{m,n}B \cos \theta} \right), \\ &= \tan^{-1} \left( \frac{\sin \theta}{\cos \theta} \right). \end{aligned} \quad (3)$$

- ▶ The depth value, at every  $(m, n)^{th}$  sensor pixel is given by:

$$d_{m,n} = \frac{c}{2} \frac{\theta}{2\pi f},$$

# ToF Principles: Amplitude Estimation

- ▶ Extract surface reflectance,  $f_{m,n}$ , by looking at the magnitude of the quadrature components

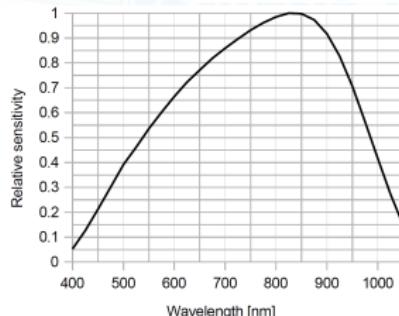
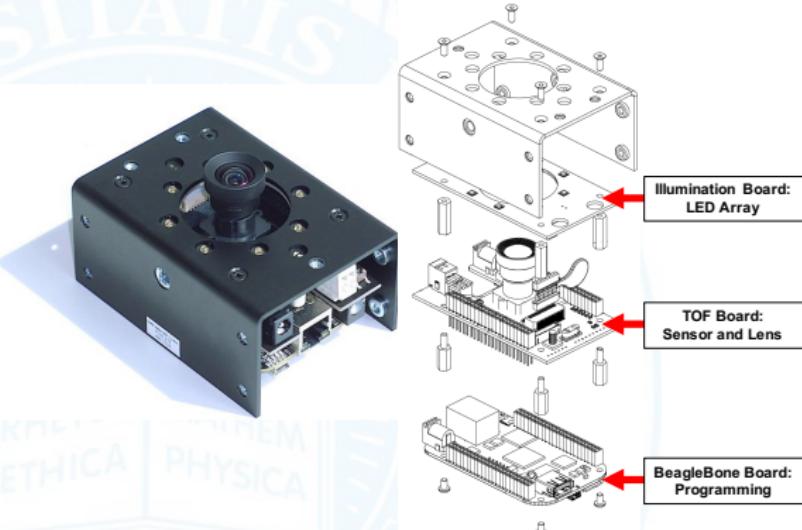
$$f_{m,n} = \frac{\sqrt{(g_{3,1})_{m,n}^2 + (g_{0,2})_{m,n}^2}}{2DB}, \quad (2DB \text{ is known}) \quad (5)$$

- ▶ Alternatively since  $(g_0)_{m,n}$  and  $(g_2)_{m,n}$  are  $180^\circ$  out-of-phase, as are  $(g_1)_{m,n}$  and  $(g_3)_{m,n}$ :

$$(g_0)_{m,n} + (g_2)_{m,n} = 2DAf_{m,n}, \Rightarrow Af_{m,n} = \frac{(g_0)_{m,n} + (g_2)_{m,n}}{2D}. \quad (6)$$

# ToF ESPROS Sensor: QVGA Espros EPC660

- ▶ 320 × 240 pixels
- ▶ 12 bit data output
- ▶ 8 NIR LEDs at 800nm
- ▶ Modulation frequencies:  
0.625 to 20 MHz
- ▶ Resulting in Range: 240  
to 7.5 meters
- ▶ Sub-centimeter accuracy
- ▶ **Ambient light  
measurement!**



# ToF-Sensor Modes



Ambient Light



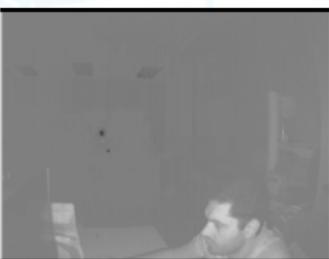
LED Amplitude



Colored LED Amplitude



$g_0$



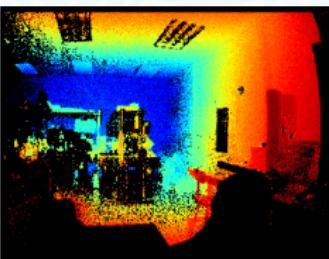
$g_1$



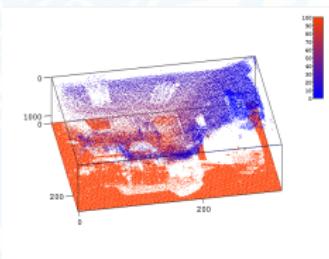
$g_2$



$g_3$

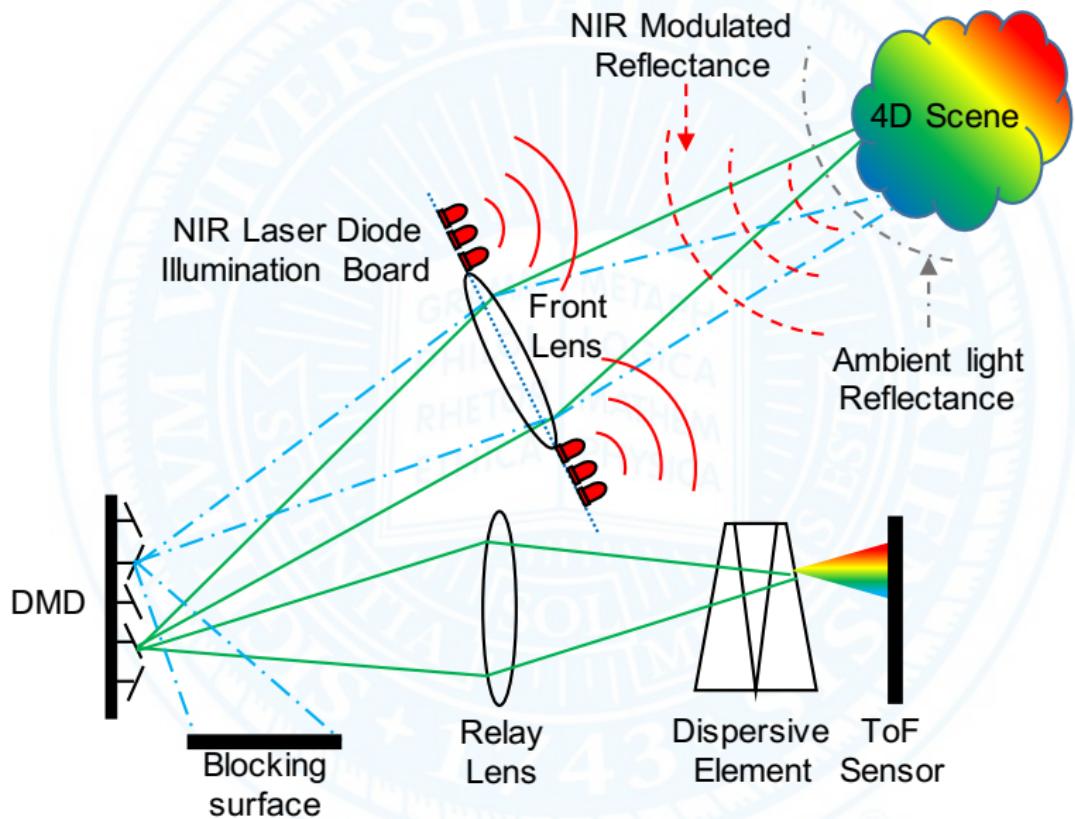


Depth Map



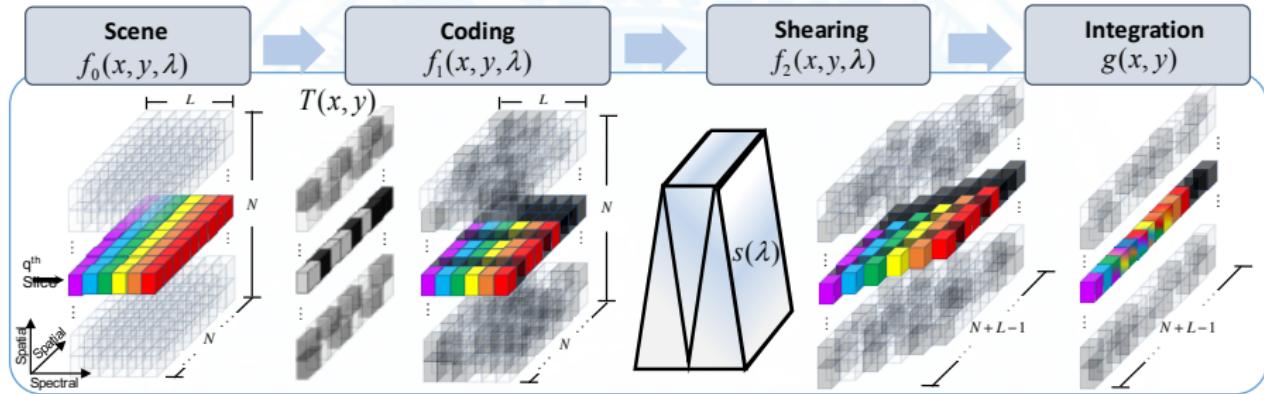
Point Cloud

# Proposed ToF+Spectral Imager

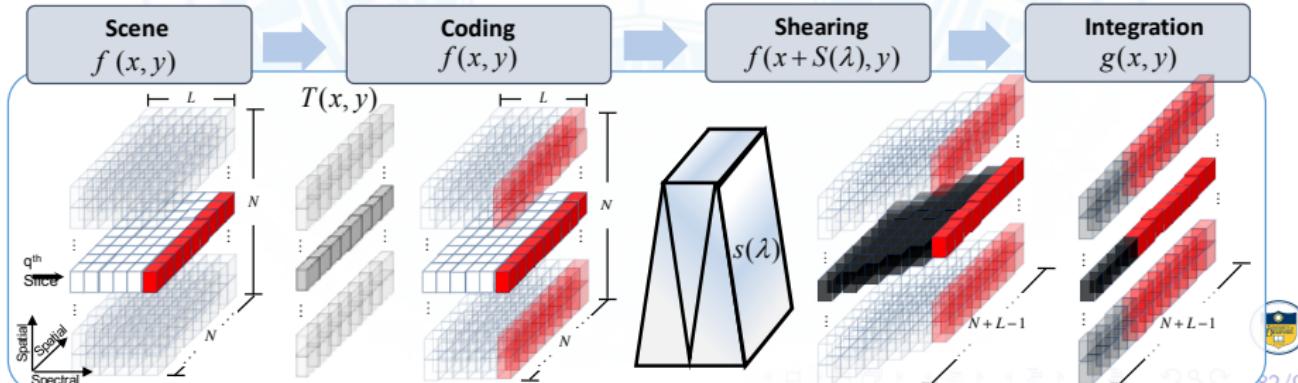


# ToF+Spectral Imager: Dual-shot Operation

- Compressive spectral imaging under ambient light reading



- ToF under LED active illumination reading



# ToF+Spectral Imager: Reconstruction

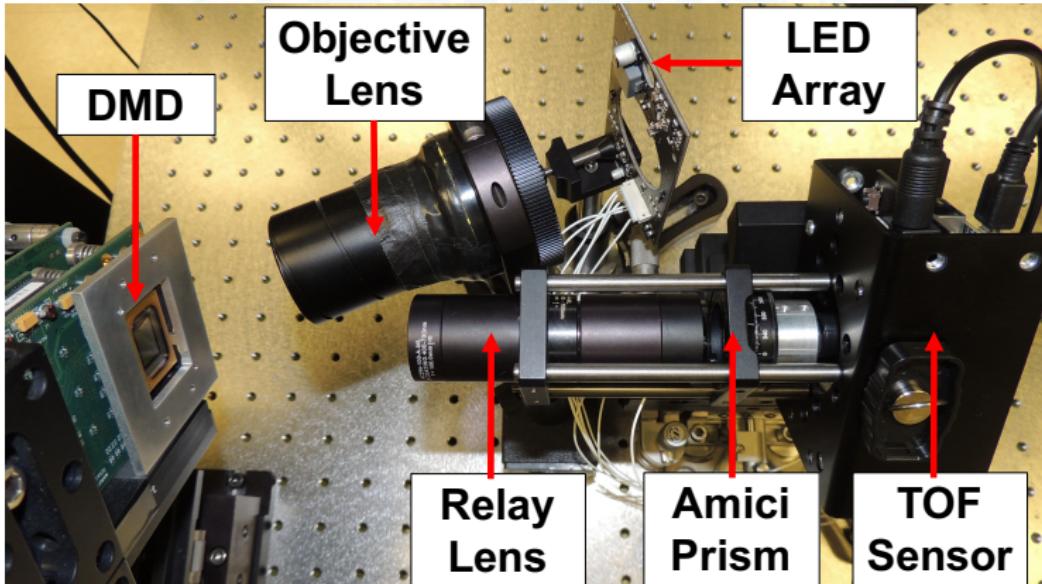
## Ambient Light Mode

- ▶ Measurement:  $g_{m,n} = \sum_l \chi_{m,n,l} T_{m,n-l} f_{m,n-l,l} + \omega_{m,n}$
- ▶ Matrix model:  $\mathbf{g} = \mathbf{PXTf} = \mathbf{Hf} = \mathbf{H}\Psi\theta = \mathbf{A}\theta$
- ▶ Reconstruction:  $\hat{\mathbf{f}} = \Psi \underset{\theta'}{\operatorname{argmin}} \|\mathbf{g} - \mathbf{A}\theta'\|_2^2 + \tau \|\theta'\|_1$

## Modulated Light Mode

- ▶ Measurement:  $(g_\ell)_{m,n} \approx D f_{m,n} \left( A + B \cos \left( \frac{\pi \ell}{2} + \theta \right) \right)$
- ▶ Phase delay:  $\theta = \tan^{-1} \left( \frac{(g_{3,1})_{m,n}}{(g_{0,2})_{m,n}} \right)$
- ▶ Depth Calculation:  $d_{m,n} = \frac{c}{2} \frac{\theta}{2\pi f}$
- ▶ Amplitude Calculation:  $f_{m,n} = \frac{\sqrt{(g_{3,1})_{m,n}^2 + (g_{0,2})_{m,n}^2}}{2DB}$

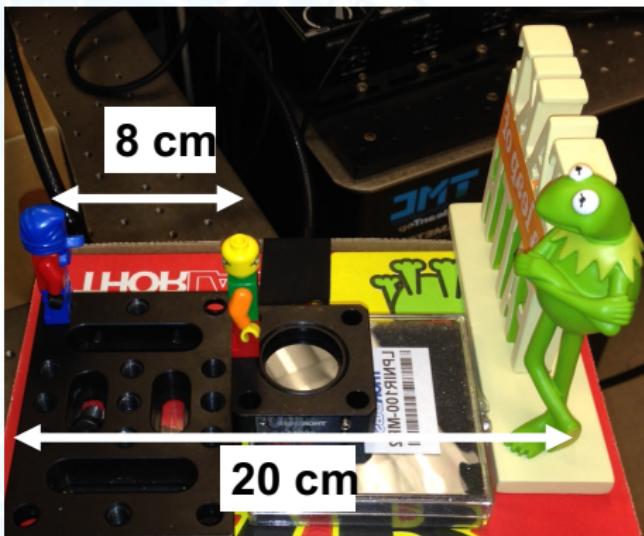
# First Prototype



- ▶ DMD resolution:  $1024 \times 768$ . ToF resolution:  $320 \times 240$
- ▶ DMD pixel: 13.68um. ToF pixel: 20um. (3:2 correspondence).
- ▶ Dispersion between 471 - 776 nm onto 14 sensor pixels.
- ▶ Final spectral cube resolution:  $64 \times 64 \times 7$

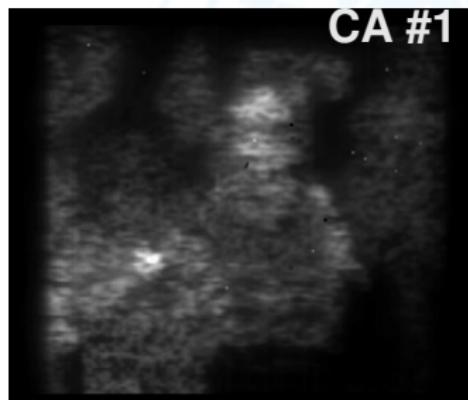


# First Prototype: Target Scene

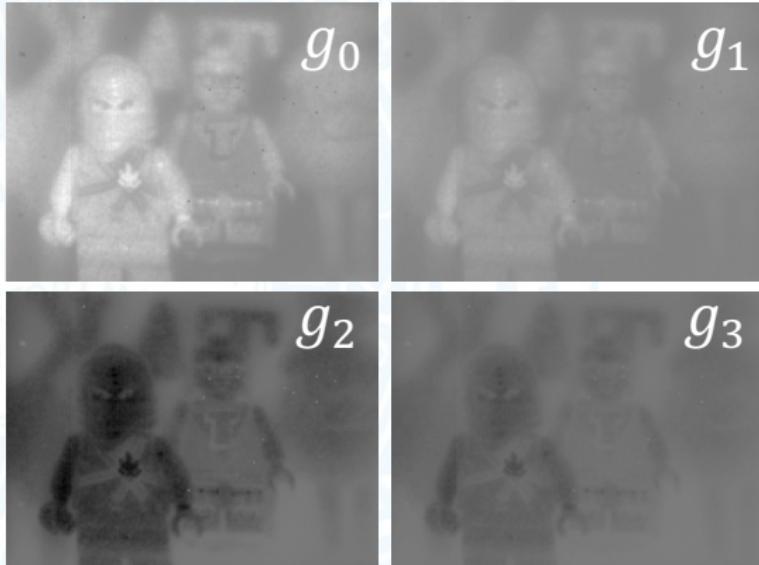


- ▶ Target scene used in the experiments.
- ▶ Larger or smaller FOV can be attained with different lens.

# First Prototype: Compressive Measurements



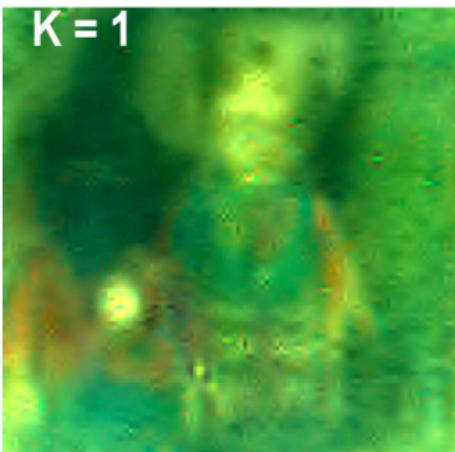
Compressed projection under grayscale mode.



Quadrature components under ToF mode.

## First Prototype: RGB-mapped Reconstructions

$K = 1$



$K = 3$



$K = 5$

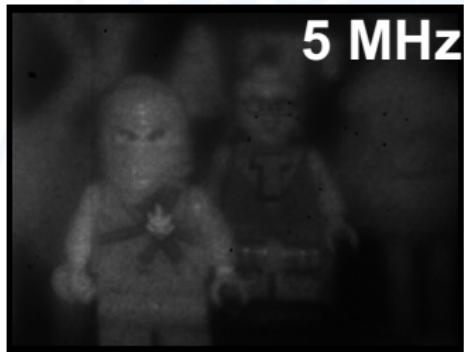


$K = 7$

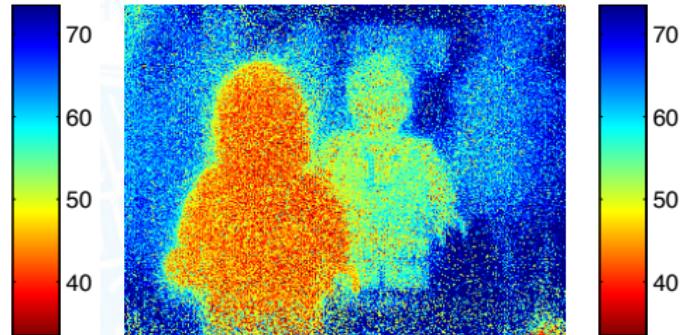
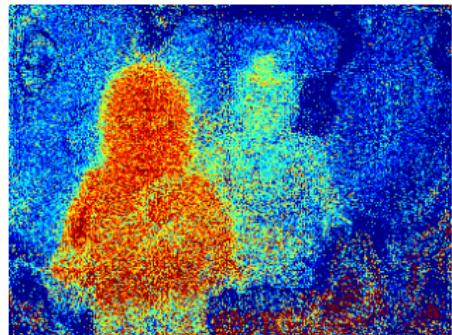


# First Prototype: ToF Depth Estimation

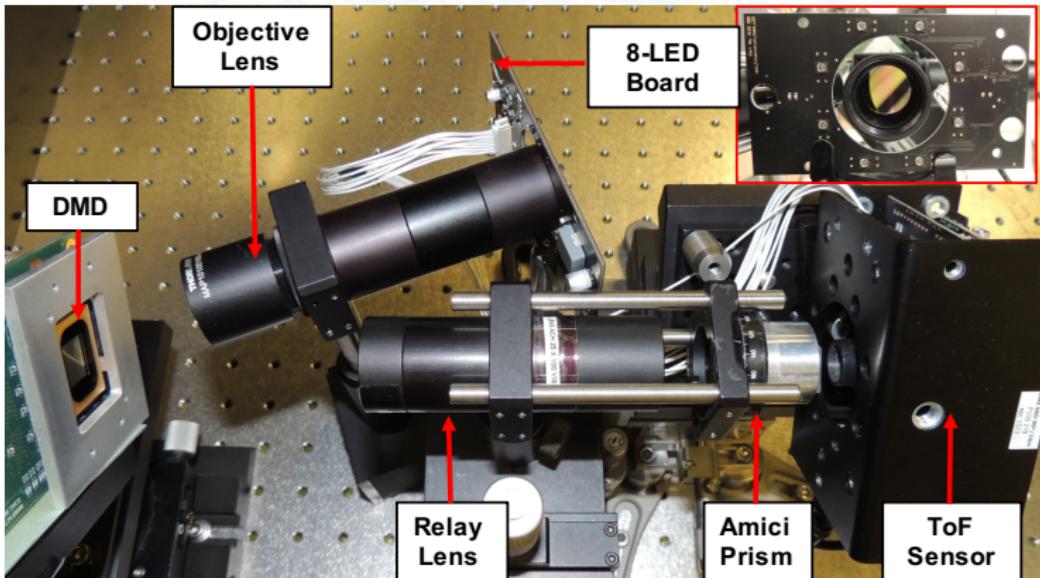
Amplitude



Depth Map

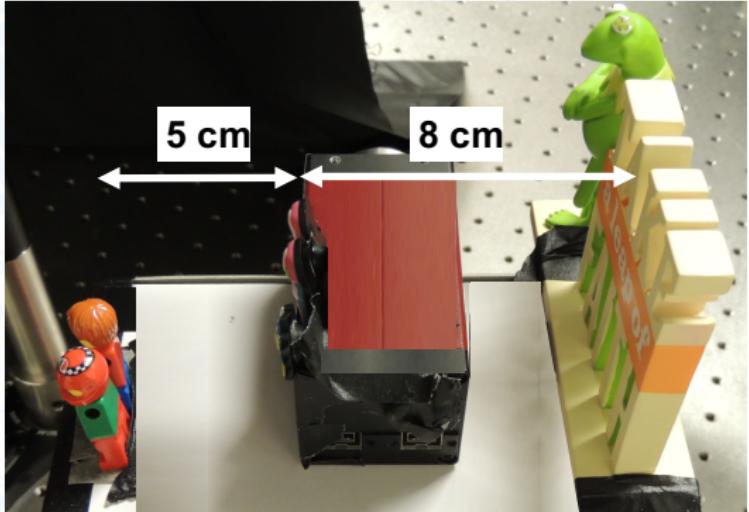
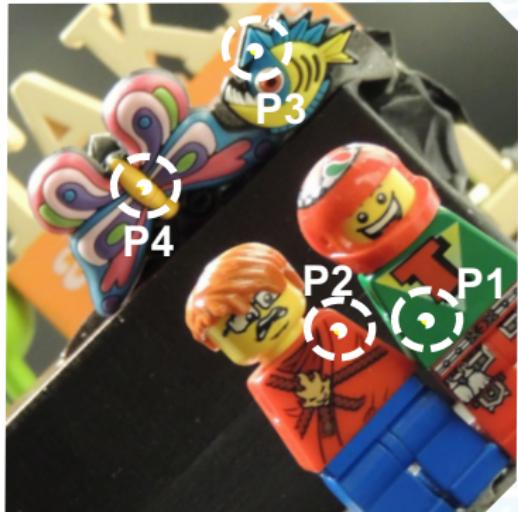


# Updated Prototype



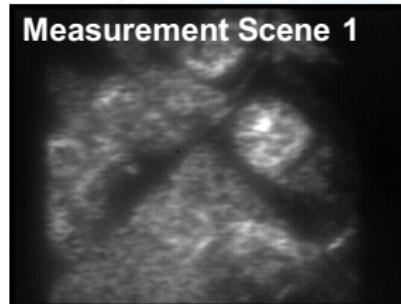
- ▶ DMD resolution:  $1024 \times 768$ . ToF resolution:  $320 \times 240$
- ▶ DMD pixel: 13.68um. ToF pixel: 20um. (3:2 correspondence).
- ▶ Dispersion between 486 - 766 nm onto 16 sensor pixels.
- ▶ Final spectral cube resolution:  $128 \times 128 \times 8$
- ▶ New objective lens with  $f = 50\text{mm}$ , FOV from  $4^\circ$  to  $8^\circ$
- ▶ Extended depth of field from 10 to around 20 centimeters.

## Updated: Target Scene

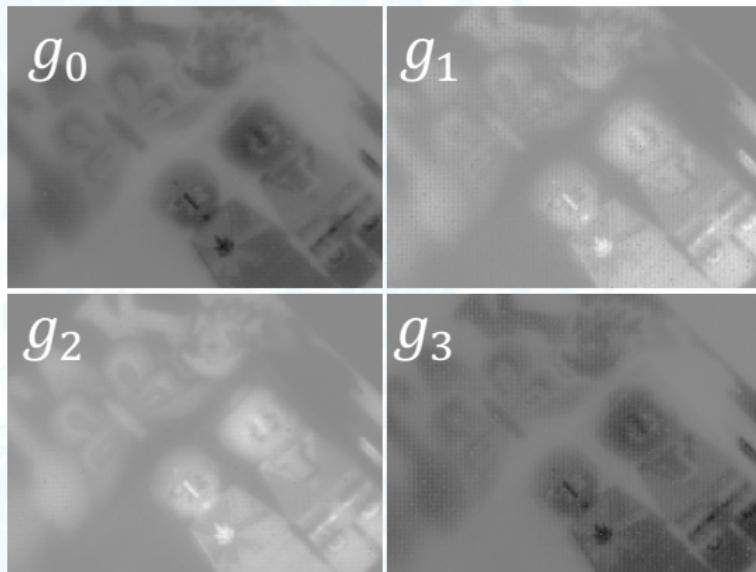


- ▶ Scene placed at around 50 cm of the camera
- ▶ Three depth planes
- ▶ FOV of around 8 deg

# Updated: Ambient Compressive Measurement



Compressed projection  
under grayscale mode.



Quadrature components under ToF  
mode.

# Updated: RGB-mapped Reconstructions of Scene

**K = 1**

**Side: None K = 2**

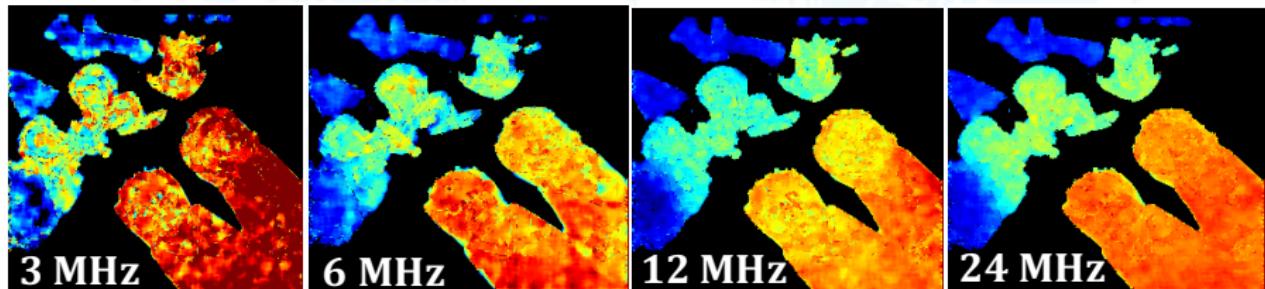
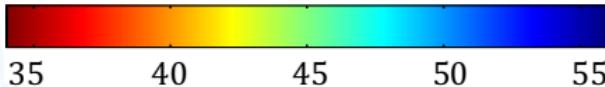
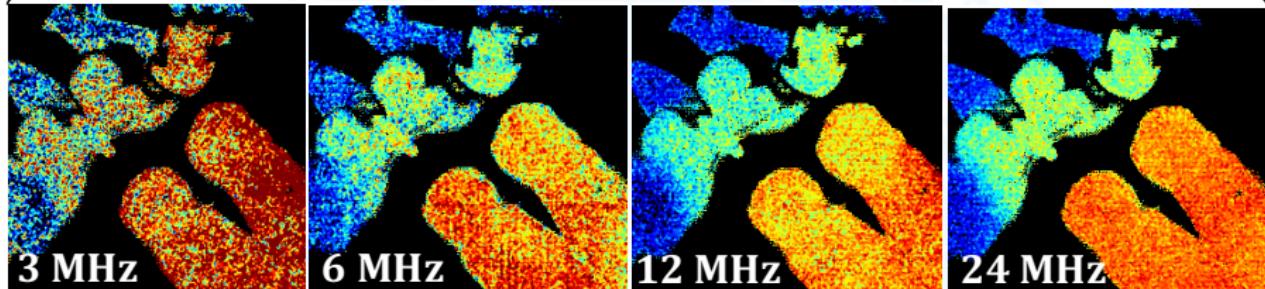
**Side: None K = 4**

**Side: None**



# Updated: ToF Depth Estimation Scene

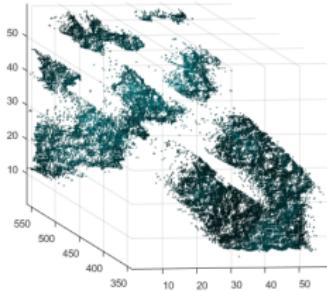
Without Processing



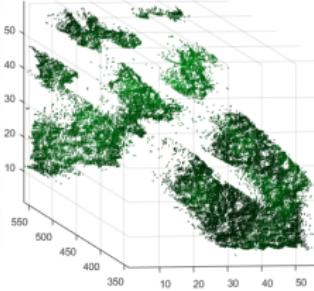
Using the CWMF

# Spectral Point Clouds

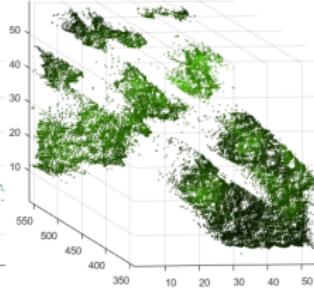
**Band 1**



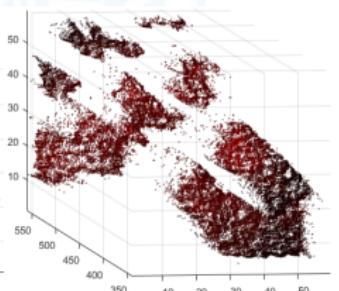
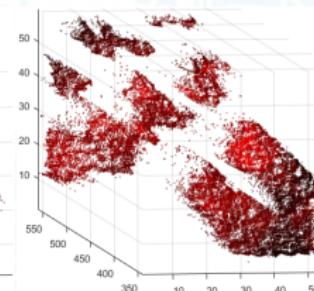
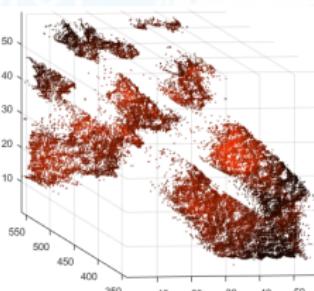
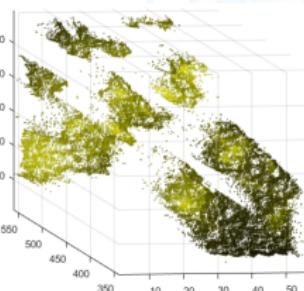
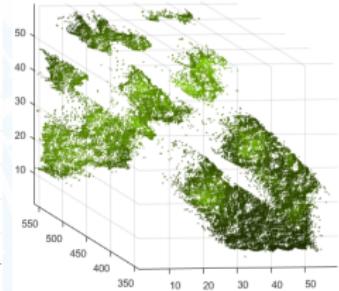
**Band 2**



**Band 3**



**Band 4**



**Band 5**

**Band 6**

**Band 7**

**Band 8**

# Acknowledgements

- ▶ Computational Imaging Group
  - ▶ Ana Ramirez, Henry Arguello, Hoover Rueda, Claudia Correa, Laura Galvis, Chen Fu, Angela Cuadros, ...
- ▶ Collaborators
  - ▶ Dr. Greg Leeming - Intel/NSF
  - ▶ Dr. Brian Sadler - ARL
  - ▶ Dr. Michael Duncan - ONR/NRL
  - ▶ Dr. Dennis Prather - University of Delaware
  - ▶ Dr. Javier Garcia-Frias - University of Delaware
- ▶ Funding from



# Thank you for your attention!

